

# Environmental controls on growing-season sap flow density of *Quercus serrata* Thunb in a temperate deciduous forest of Korea

Nahida Laiju<sup>1</sup>, Dennis Otieno<sup>2</sup>, Eun-Young Jung<sup>2</sup>, Bora Lee<sup>2</sup>, John Tenhunen<sup>2</sup>, Jong-Hwan Lim<sup>3</sup>, Joo-Han Sung<sup>3</sup> and Sinkyu Kang<sup>1,\*</sup>

<sup>1</sup>Department of Environmental Science, Kangwon National University, Chuncheon 200-701, Korea

<sup>2</sup>Department of Plant Ecology, University of Bayreuth, Bayreuth 95440, Germany

<sup>3</sup>Korea Forest Research Institute, Seoul 130-712, Korea

## Abstract

Sap flux density (SFD) measurements were used, in combination with morphological characteristics of trees and forest structure, to calculate whole-tree transpiration, stand transpiration (St) and mean canopy stomatal conductance (Gs). Analysis based on the relationships between the morphological characteristics of trees and whole tree water use, and on the responses of SFD and Gs to short wave radiation (RR), vapor pressure deficit (VPD) and soil water content (SWC) during drought and non-drought periods were conducted. The results showed a strong positive correlation between whole tree transpiration and both tree diameter at breast height (DBH) ( $r^2 = 0.95$ ,  $P < 0.05$ ) and sapwood area (SA) ( $r^2 = 0.98$ ,  $P < 0.05$ ). Relationships between SFD and DBH ( $r^2 = 0.25$ ), as well as SA ( $r^2 = 0.17$ ) were weak. Daily SFD of *Quercus serrata* Thunb was closely related to VPD and RR. Although operating at different time scales, RR and VPD were important interacting environmental controls of tree water use. SFD increased with increasing VPD (<1 kPa) and RR. SWC had a considerable effect on stand transpiration during the drought period. The relationships between SFD, VPD and RR were distorted when SWC dropped below 35%.

**Key words:** drought effect, sapflow, stand transpiration, *Quercus serrata*

## INTRODUCTION

In East Asia, precipitation is concentrated in the short monsoon period between July and August, leaving the rest of the year mostly dry, and limiting the availability of water to trees since most of the soil water store is rapidly depleted (Shin 2002, Hwang et al. 2008). In South Korea, most forests are located in mountainous areas which experience rapid lateral water flux, as well as uneven distribution of soil water, further adding to the forest trees vulnerability to drought. In areas where precipitation exceeds potential evaporation, annual water use by trees equals cumulative transpiration, which depends on atmospheric

conditions and their interactions with stomatal conductance, canopy architecture and leaf area (Landsberg and Gower 1997). When water in the rhizosphere is limiting, to the extent that root water uptake cannot cope with transpirational demands, then trees will respond by closing their stomata in order to limit the drop in tissue water potentials to dangerous levels that could cause cavitation of the conducting vessels (Sperry and Pockman 1993, Cochard et al. 1996).

Transpiration is a major contributor to water loss in forests (O'Grady et al. 2008), constituting a major hydrologic

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**\*Corresponding Author**

E-mail: kangsk@kangwon.ac.kr

Tel: +82-33-250-8578

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component of the montane-forested catchments, and its quantification is critical for water resource management. The accurate estimation of tree transpiration is however difficult, particularly in rugged mountainous terrain. The sap flow technique is a useful tool for investigating forest water use i.e., transpiration at temporal and spatial scales (Ford et al. 2007, Kumagai et al. 2007, Jung et al. 2011). In recent years, measurements of water use at the individual tree level have become more widespread, due to the development of reliable sap flow techniques (Granier 1985, Köstner et al. 1998, Dierick and Hölscher 2009). A common approach for estimating transpiration is based on the measurement of sap flux density (SFD) by the Thermal Dissipation Probe method (Granier 1987, Oren et al. 1998). The sap flow based canopy conductance (Gs) can provide a way of relating Gs to environmental factors, and help to explain the mechanism of water use of forest in different habitats. Sap flow measurements can distinguish transpiration among individuals and among class in the stand.

Understanding how transpiration varies according to climate factors as well as soil water content (SWC) is vital in forest hydrology studies. Variation in sap flow rates is explained on the basis of variation of different environmental controls, such as heterogeneity in soil water conditions, vapor pressure deficit (VPD), short wave radiation (RR) and also on the basis of methodological differences in sap flow measurements. Implications of temporal water shortage on temperate forest trees with respect to leaf water status (Hinckley et al. 1981), stomatal conductance, photosynthesis (Epron and Dreyer 1993) and hydraulic conductivity (Bréda et al. 1993, Cochard et al. 1996) have been studied extensively. It was shown that tree sap flow rates scaled to the stand level plus evapotranspiration from soil and the understory agreed with water vapor fluxes measured above the forest canopy with the eddy-correlation techniques (Granier et al. 1996, Köstner et al. 1998). In the course of a drought, gradually decreasing stomatal conductance, pre-dawn leaf water potential, assimilation and growth are commonly observed, accompanied by a stimulation of fine root growth (Leuzinger et al. 2005). With regard to water deficits, Scots pine closes stomata when the soil water deficit has reached a specific threshold (Irvine et al. 1998), whereas pubescent oak maintains high transpiration rates despite the incidence of drought (Nardini and Pitt 1999), partly due to an ability to extract water from deep soil layers and groundwater (Valentini et al. 1992).

An improved understanding of how environmental factors, particularly SWC, RR and VPD influence stand tran-

spiration is necessary in order to predict how these temperate forests may respond to the predicted reductions in precipitation due to climate change. In this study, we examined how the regulation of transpiration in individual trees responded to changes in SWC. We selected the tree species, *Quercus serrata*, because it is widely distributed in lowland forested areas of the Korean Peninsula. We hypothesized that there exists a SWC threshold, which determines critical SFD values under conditions of limited soil water supply. The main objectives of this study were 1) to relate the variability in sap flow to environmental variables; 2) to determine daily and seasonal patterns of stand transpiration, and 3) to investigate how drought affects tree sap flow, and stand transpiration.

## MATERIALS AND METHODS

### Study site

The study site was at Gwangneung Experimental Forest (GN) located in central western part of the Korean Peninsula (37°44' N and 127°9' E), and the elevation of the site is 330 m above sea level. The Korean peninsula is located on the boundary between polar and tropical climates, and is strongly influenced by a continental air mass. During winter, strong continental high-pressure systems develop over Siberia, bringing dry cold air to Korea. In summer, monsoon brings southerly winds and abundant moisture from the ocean. Precipitation is concentrated in summer period, with 45-60% of the total annual rainfall occurring in summer, and 3-10% in winter.

A flux tower was constructed at the site in order to monitor changes in carbon/energy fluxes within the microclimate since 2001 (Lee et al. 2007). The density of the trees was 1,473/ha with a total biomass of 261 ton/ha, while the basal area was 28 m<sup>2</sup>/ha. The site was dominated by *Quercus serrata* (51% of total basal area), followed by *Carpinus laxiflora* (23% of total basal area). *Quercus serrata* is the most common tree species in Korea. Based on tree location, size, and stem structure, 6 trees of *Quercus serrata*, were chosen for sap flow measurements. Our strategy was to measure sap flow in trees with a range of diameter at breast heights (DBHs), so as to ensure that the entire stand was represented. In the study year 2007, the growing season for deciduous trees lasted from the beginning of April (bud break) to the beginning of November. The measurements were started in May 2007 and continued till November, 2007.

## Micrometeorology

Precipitation (Rain gauge; Pluvio, OTT, Messtechnik, Germany), air temperature and relative humidity at 40 m and 20 m height (CSAT3; Campbell Sci, Logan, UT, USA and LI7500; Li-Cor, Lincoln, NE, USA, respectively), The depth at which SWC determinations were carried out averaged between 0-0.3 m (CS615; Campbell Sci), radiation (CNRI; Kipp & Zonen, Delft, Netherlands) was recorded at a weather station constructed on the GN experimental forest site. Data were recorded every 5 min, averaged and logged every 30 min using a CSAT3 Campbell data logger (Campbell Scientific, Logan, UT, USA) (Lee et al. 2007). As GN is mountainous and located within the base of bedrock, the rooting depths as well as the depth of the effective soil layer were assumed to be 0.3 m. To investigate the drought effect, we postulated that SWC is responsible for the variation of SFD in *Q. serrata*. Barring VPD, all meteorological data from the tower were obtained online ([www.asiaflux.net](http://www.asiaflux.net)). VPD was calculated from temperature and humidity by using the equation suggested by Murray (1967).

$$\text{VPD} = a e^{(bT/T+c)}(1 - \text{RH}) \quad (1)$$

where, a, b and c are 0.611 kPa, 17.502 and 240.97 respectively.

## Sap flow measurements

Sap flux density within the active xylem (SFD) was measured continuously between April and November 2007 using the constant current supply method (Thermal Dissipation Probe) described by Granier (1985, 1987). Each sensor consisted of two, 20 mm long probes (2 mm in diameter), each equipped with a copper constantan thermocouple and wrapped with heating wire (Jung et al. 2011). The two probes for each sensor were inserted radially into the xylem, separated vertically by a 10-15 cm gap. One sensor was inserted into each selected tree at a depth of 0-20 mm. The upper probe was continuously heated at a constant power (0.2 W) and the lower one was kept at trunk temperature (reference probe). The heat was dissipated into the sapwood and transported by the vertical sap flux surrounding the probe. The temperature difference between the upper heated probe and lower unheated reference probe was measured and converted into SFD according to Granier (1987). During conditions of zero sap flow, e.g., at night when VPD was zero or near zero, and during prolonged rain events, the temperature differ-

ence  $\Delta T$ , between the probes reaches a maximum, which is then used as reference for maximum  $\Delta T$ . Sap flow during the day causes a decrease in temperature difference  $\Delta T$  by cooling the upper probe. This difference is used to calculate real time SFD.

The probes were inserted in the north-facing side of the stem, and covered with Styrofoam and reflective aluminum insulation to avoid the influence of natural temperature gradients originating from direct heat from the sun, and also to protect them from rainfall. Power was supplied from a 12 V battery that was constantly charged, via a charge controller, by solar panels installed on the tower above the tree canopy. Data were recorded every 30 s and averaged every 30 min with a data logger (DL2; Delta-T Devices, Cambridge, UK). A total of six trees were monitored from 27th April to 5 November 2007, a period that covered bud break to complete senescence.

Sap flow was calculated by multiplying SFD by sapwood area (SA). We cored trees in order to estimate the SA of the studied trees. Distinct color differences were used to identify the boundary between sapwood and heartwood. We established significant ( $r^2 = 0.95$ ) correlation between DBH and SA, described by the equation as already shown by Vertessy et al. (1995) and Wullschlegel et al. (2001).

$$\text{SA} = B_0 \cdot \text{DBH}^{B_1} \quad (2)$$

where SA is the sapwood area (cm<sup>2</sup>), DBH is the measured tree diameter at breast height (cm), and  $B_0$  and  $B_1$  are species-specific coefficients, as determined with regression techniques. In cases where more than one sensor was employed in one tree, sap flux was integrated over the entire sapwood by averaging the respective fluxes in the different sapwood depths.

Plot SAs for all trees within the plot were estimated according to Eq. (2) and the estimates were then used to calculate the total sap flow. SFD within the xylem was calculated from an empirical relationship validated for several species by Granier (1985) and recently revalidated by Clearwater et al. (1999).

$$\text{SFD} = 119 \cdot k^{1.231} \quad (3)$$

where SFD is sap flux density (mm/s) and  $k$  is related to  $\Delta T$  of the two probes

$$k = \frac{\Delta T_0 - \Delta T}{\Delta T} \quad (4)$$

where  $\Delta T_0$  is the value of  $\Delta T$  when there is no sap flux. This model is widely used as standard calibration.

Despite the robustness of the sap flow technique, scaling procedures are required to extrapolate stand level estimates from SFD measurements of individual trees. Transpiration is scaled up to the stand level using the following equation (Granier et al. 1996, Wilson et al. 2001, Wullschlegler et al. 2001, Pataki and Oren 2003).

$$St = \frac{J_s \cdot A_{S\_stand}}{A_G} \quad (5)$$

where St is stand transpiration,  $J_s$  the mean stand SFD,  $A_{S\_stand}$  the stand sapwood area, and  $A_G$  is the ground area. Conventionally,  $A_{S\_stand}$  is estimated by integrating all the individual tree sapwood area ( $A_{S\_tree}$ ) estimates in the stand. Species-specific allometric equations describing the relationships between stem diameter (DBH) and  $A_{S\_tree}$  are used for this purpose (Vertessy et al. 1995, Cienciala et al. 2000, Roberts et al. 2001, Wullschlegler et al. 2001). Estimates of stand transpiration derived through extrapolation of species-specific xylem sap flow rates can only be as good as the original measurements.

The mean canopy stomatal conductance was calculated by using equation (Köstner et al. 1992, Granier and Bréda 1996, Pataki et al. 1998)

$$Gs = \frac{St}{A_G \cdot LAI \cdot 18} \times \frac{\rho \cdot Gv \cdot (T + 273)}{VPD} \quad (6)$$

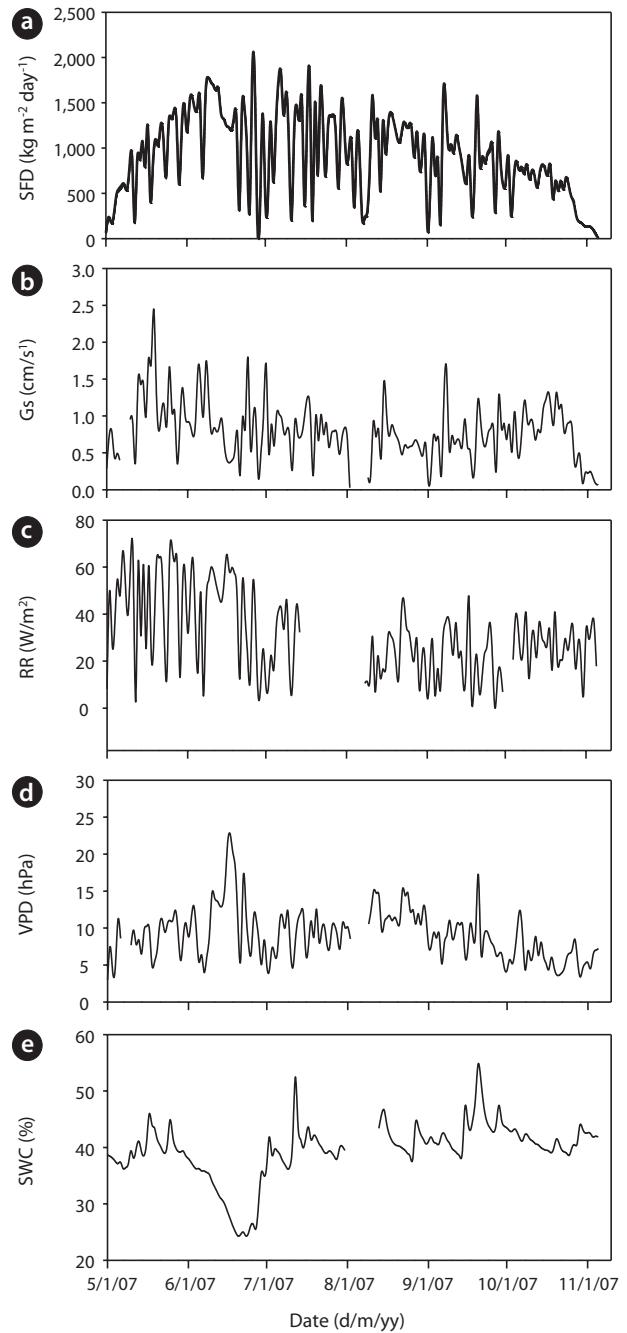
where St is the stand transpiration ( $J_s \cdot SA$ ),  $A_G$  = stand area, LAI = leaf area index of stand,  $\rho$  = the water specific density, T = the air temperature, Gv = the gas constant, VPD = the vapor pressure deficit.

Leaf area index (LAI) is the amount of leaf area in a vegetation canopy per unit land area, and was measured by indirect methods using the Li-Cor LAI 2000 plant canopy analyzer. Estimated SFD and canopy stomatal conductance were correlated with climatic factors such as VPD, RR and SWC. All statistical analyses were performed with Sigma Plot 9.01 and Sigmastat 3.11 program (Systat Software Inc., San Jose, USA).

## RESULTS

### Microclimate and soil moisture

The annual mean air temperature at the study site was 11.3°C while annual precipitation was 1,365 mm. The mean daily air temperature from May to November in 2007 was 21.2°C. During 2007, rainfall was well distributed over the growing season, although rainfall in July and August was heavier. The total precipitation was 933 mm



**Fig. 1.** Seasonal pattern of (a) sap flux density (SFD) and (b) canopy stomatal conductance (Gs) of *Quercus serrata* in response to (c) short wave radiation (RR), (d) vapor pressure deficit (VPD) and (e) soil water content (SWC).

from May to November. Early June was the driest, while August was the wettest period during the study. Although a SWC of less than 30% is considered, in general, as drought condition in Gwangneung soils, we considered the drought condition to occur when SWC dropped below 35%, and this condition was observed throughout most of the month of June, and was much more pronounced

towards the end of the month (Fig. 1e). SWC in the upper 0.3 m varied over the measurement period. During the drought, SWC in the upper 0.3 m soil declined to 24 % in June, while the maximum SWC (55%) was observed during the month of September. VPD showed seasonal variations, with the highest values occurring on June 17 (Fig. 1d). Daily mean VPD during drought and non-drought periods were 13.64 hPa and 8.58 hPa, respectively, while the respective maximum values were 22.68 hPa and 17.27 hPa. The recorded maximum daily average value of radiation was 68.47 W/m<sup>2</sup> with an average of 31.18 W/m<sup>2</sup> during the study period (Fig. 1c).

### Plant characteristics

Table 1 shows the morphological characteristics of the selected *Q. serrata* trees for sap flow measurements and their average SFDs (30 min data per day throughout the study period) and tree water use. As SFD is related to DBH, tree height, sapwood depth and SA (Sevanto et al. 2008, Liu et al. 2008), we also determined the coefficients between SFD with DBH, tree height, sapwood depth and SA from Table 1, where the statistically insignificant  $r^2$  values were determined with 0.26, 0.32, 0.26, and 0.17, respectively. The coefficients of determination between tree water use with DBH ( $r^2 = 0.95$ ), sapwood depth (0.95), and SA (0.98) were statistically significant, though that for tree height (0.26) was not.

### Tree sap flux

Results of seasonal SFD are shown in Fig. 1a. The maximum daily average SFD was 1,833 kg m<sup>-2</sup> day<sup>-1</sup>. Temporal variation of SFD in response to RR and VPD were pronounced during the study period (Fig. 2). Diurnal patterns of SFD showed a steep morning increase, leading to maximum rates at around midday when RR or VPD was

at its maximum, followed by a gradual decrease later in the afternoon during clear-sky day conditions (Fig. 2). The half-hourly measured SFD increased with VPD and RR during the study period, while the daily maximum or total SFD did not always increase with VPD and RR. In spite of higher RR and VPD, daily maximum or total SFD during the drought period (June 19-21) (Fig. 2) was less than that in the non-drought period (June 9-10 and July 6-7) (Fig. 2).

Fig. 3 shows the curvi-linear relationship between daily SFD and RR when SWC was above 35 % ( $r^2 = 0.23$ ), and also when SWC was lower than 35% ( $r^2 = 0.74$ ). Similar relationships were observed between daily SFD and VPD when SWC was above 35% ( $r^2 = 0.41$ ) and also below 35% ( $r^2 = 0.36$ ). SFD increased with increasing RR until a stable point was reached. Above RR stable point, SFD was controlled by VPD, when soil moisture was adequate. VPD therefore dominated over RR as a regulatory factor for tree responses. Below a critical SWC, SFD did not increase with increasing RR and VPD, but rather it decreased i.e., the abnormal patterns of SFD were observed in response to RR and VPD (Fig. 4). Although SWCs above 35% had no significant influence on SFD responses to daily RR and VPD in the species, low SWC below 35% altered the sensitivity of SFD to RR and VPD (data not shown). This soil water effect is reflected in daily SFD responses to RR and VPD which are shown in both low and high SWCs in Fig. 2. SFD decreased with increasing RR and VPD (Fig. 5). The daily SFD at high VPD was significantly lower at low SWC. However, changes in half-hourly SFDs were not always in line with changes in VPD. SFD values reached a maximum of 1,833 kg m<sup>-2</sup> day<sup>-1</sup> while the average VPD of that day was about 11.51 hPa. The SFD values changed little when VPD was higher than this value, which is possibly the upper VPD threshold. This suggested that high levels of VPD might limit water use. Above 35% SWC, the influence of VPD was higher than that of RR. But below

**Table 1.** Characteristics of *Quercus serrata* trees (QS) chosen for the measurement of tree water use

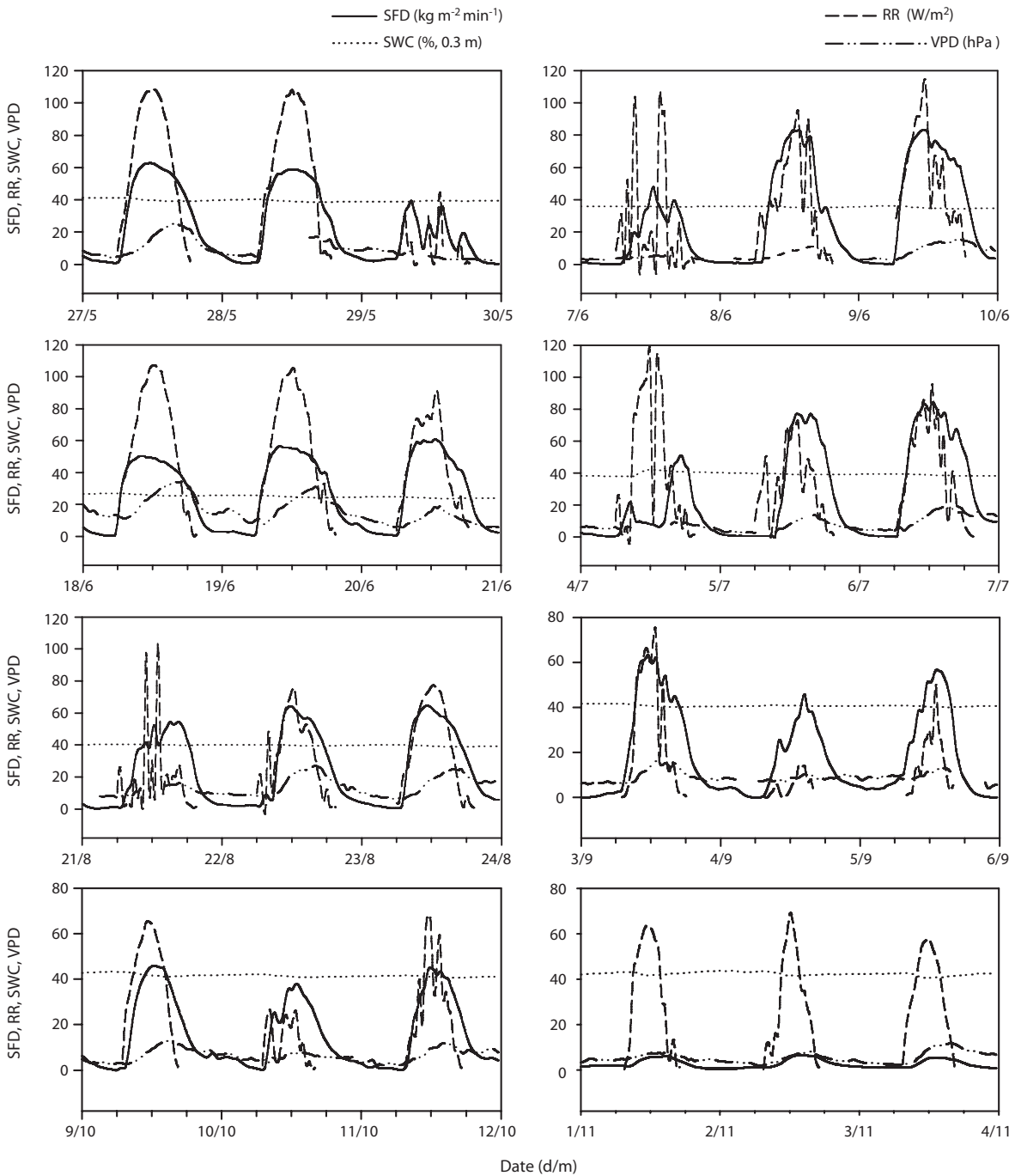
Trees	SA (cm <sup>2</sup> )	DBH (cm)	Height (m)	Sapwood depth (mm)	Average SFD (g m <sup>-2</sup> s <sup>-1</sup> )	Tree water use (g/s)
QS1	754.26	59.7	16	19.52	5.69	0.43
QS2	277.44	36.3	15	18.61	5.31	0.15
QS3	761.9	60	17	19.53	5.25	0.4
QS4	177.89	29.1	12	18.33	4.42	0.08
QS5	69.25	18.2	17	17.9	9.57	0.07
QS6	556.07	51.3	17	19.19	6.08	0.34

SA, sapwood area; DBH, diameter at breast height; SFD, sap flux density.

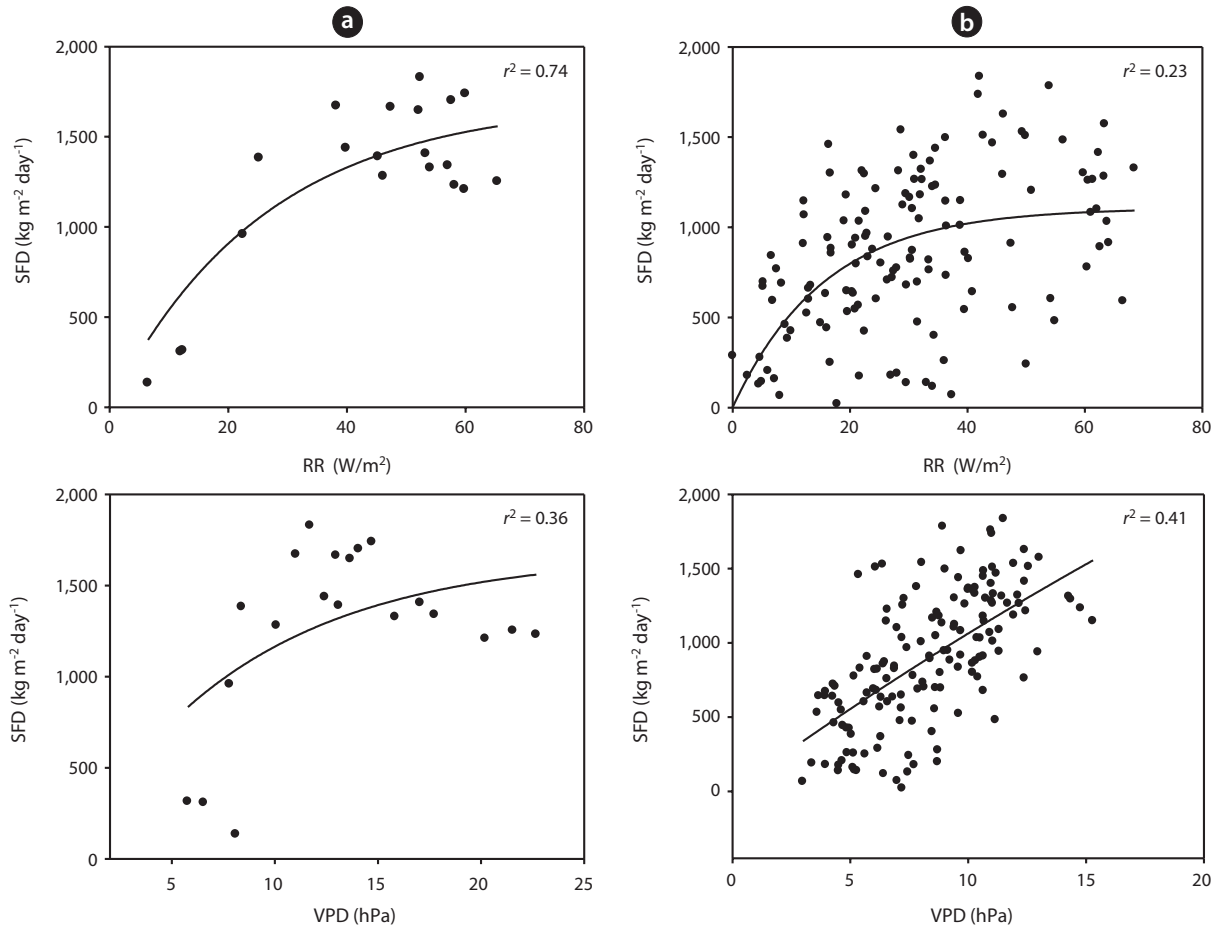
35% SWC, increased VPD was found to reduce SFD. This might be the cause why the  $r^2$  between VPD and SFD was lower than anticipated. Increasingly, the VPD effect was dominant over that of RR during the drought period even though the  $r^2$  between RR and SFD was higher ( $r^2 = 0.74$ ).

To evaluate the effect of drought, we calculated the co-

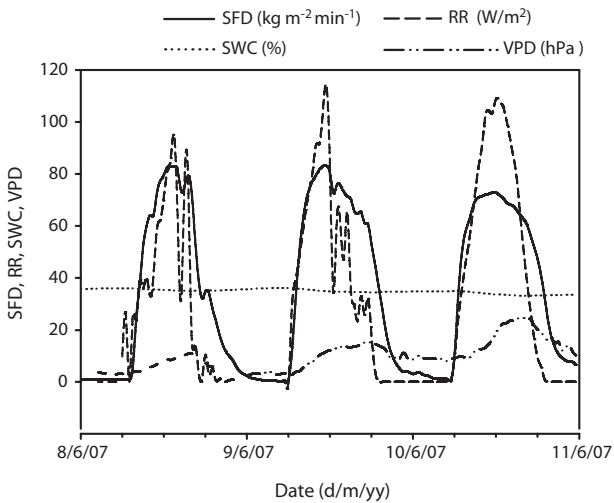
efficient of determination between SFD and SWC during the drought and non-drought periods. The relationship between SFD and SWC during the drought period was relatively strong ( $r^2 = 0.12$ ) compared to the non-drought period (Fig. 6). But this relationship was stronger during sunny days ( $r^2 = 0.25$ ) during the drought period. Maxi-



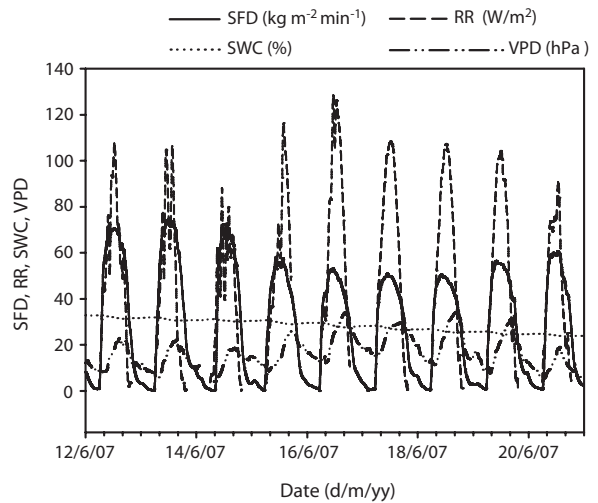
**Fig. 2.** Temporal variation of sap flux density (SFD) in response to short wave radiation (RR) and vapor pressure deficit (VPD) at approximate constant soil water content (SWC) measured at 0.3 m in *Quercus serrata* at Gwangneung in 2007.



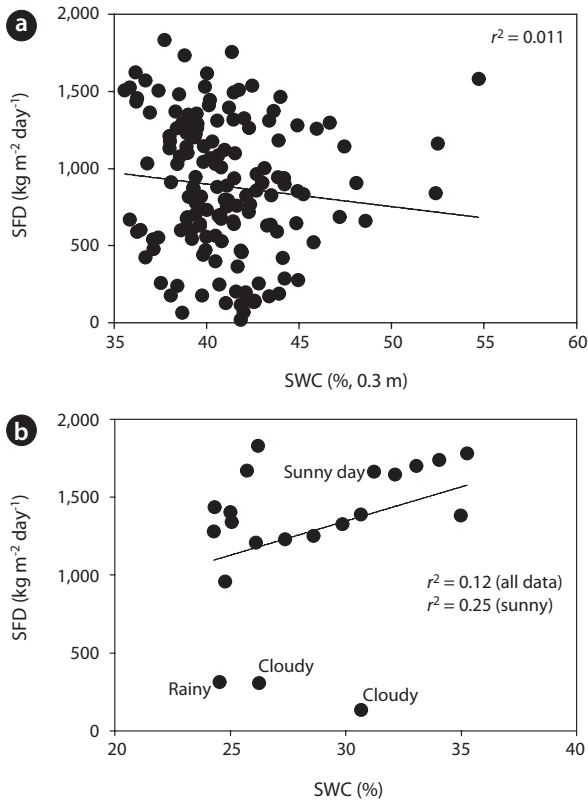
**Fig. 3.** Correlation between sap flux density (SFD) with short wave radiation (RR) and vapor pressure deficit (VPD) at (a) below 35% soil water content (SWC) and (b) above 35% SWC during the study period.



**Fig. 4.** Soil water content (SWC, %) measured at 0.3 m at which sap flux density (SFD) shows abnormality with climatic factors and SWC. RR, short wave radiation; VPD, vapor pressure deficit.



**Fig. 5.** Abnormal patterns of sap flux density (SFD) with climate and soil factors during drought period. RR, short wave radiation; SWC, soil water content; VPD, vapor pressure deficit.



**Fig. 6 .** Correlation between sap flux density (SFD) and soil water content (SWC) measured at 0.3 m during (a) non-drought period and (b) drought period.

imum daily average  $G_s$  (2.45 cm/s) occurred during the end of May (May 19) and  $G_s$  declined during drought (Fig. 1b). For example, measurements of  $G_s$  in June (on June 20 SWC was 24%) at the peak of the drought were on average equal to 0.78 cm/s, which was 32% of the maximum  $G_s$  measured on May 19. In a shorter temporal scale, the response of  $G_s$  was mainly influenced by radiation and VPD (see Fig. 1b vs. Fig. 1c and 1d). Nevertheless, the long-term (e.g., seasonal) response was mainly controlled by soil moisture conditions (see Fig. 1b vs. Fig. 1e). Fig. 7 shows the relationship between  $G_s$  and VPD during drought and non-drought periods. A flat or negative slope indicates stomatal closure (Bovard et al. 2005) when VPD was high and SWC low. Before drought, the highest  $G_s$  value was 2.45 cm/s while the highest value after drought was 1.70 cm/s. The relationship between  $G_s$  and VPD was negative during drought and generally positively strong ( $r^2 = 0.72$ ) during the period when SWC was high.

## DISCUSSION

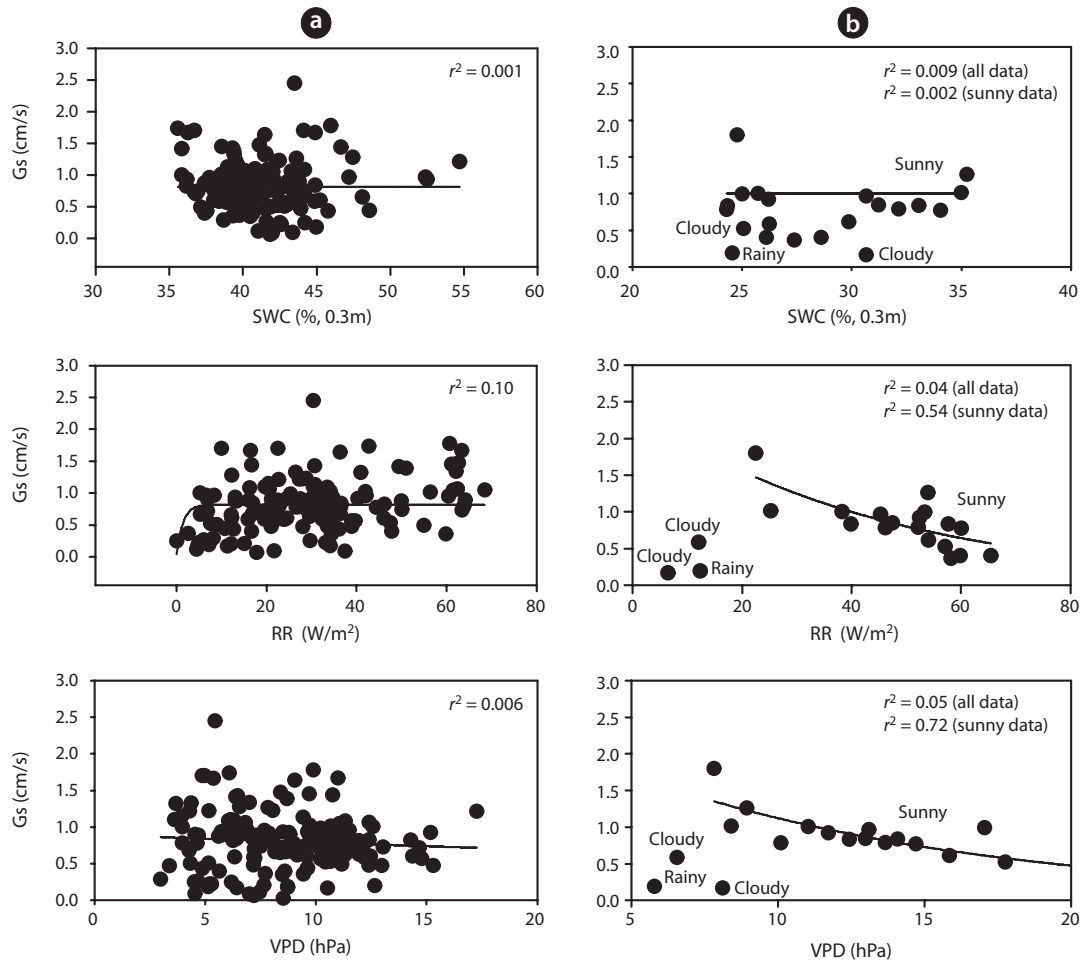
We found evidence that SWCs greater than 35% at 0.3

m depth has no significant influence on the SFD of *Q. serrata* trees. The dominant controls on SFD were daily RR and VPD (Fig. 2). SWC influenced how SFD responded to changes in RR and VPD during the drought period (SWC < 35%) (Fig. 5). Oren and Pataki (2001) observed similar magnitudes of sap flux rates in an oak-hickory forest under comparable VPD levels. Drought, viewed as a shortage in precipitation, is often coupled with high evaporative demand, which reduces moisture availability in a way that will negatively affect the normal functioning of trees (Pereira et al. 2006). Low soil water availability was found to be associated with a decline in SFD within this study (Fig. 6). SWC fluctuates according to its water supply from rainfall and drying after rainfall during the growing season. The root distribution profile of plants is an important character for water uptake when the vertical distribution of SWC is heterogeneous. Since SFD increased even after a minor rain event, it was assumed that *Q. serrata* has a significant amount of fine roots distributed within the shallow soil layers, which facilitate rapid water uptake after rain events. Considering the large crown size of these trees, they must also require good anchorage in the soil to stay erect and to withstand the frequent storms in this region. Thus, we concluded that *Q. serrata* has its roots distributed across a wide soil profile, which makes it well adapted to withstand drought and to take advantage of rain showers that don't penetrate deep soil layers as well as soil nutrients that are concentrated within the shallow soils.

Previous results illustrating the effect of SWC on transpiration and stomatal conductance have been presented by Tan et al. (1978), who measured the stomatal resistance ( $r_s = 1/G_s$ ) of a douglas fir stand over a period of one month, during summer when there was no rain. They showed that the effects of VPD were enhanced by dry soil. Kelliher et al. (1995) reported that even the moderately wet soil has a considerable effect on stomata, and hence, on transpiration rates. In this study, a good relationship was observed between SFD and stomatal behavior where  $r^2 = 0.60$ . Stomatal regulation of transpiration provides plants with some control over the development of water potentials sufficient to induce cavitation (Sperry et al. 2002). As water stress develops, stomatal closure progressively restricts transpiration and lowers the rate of decline in plant water potential.

During the drought period, stand transpiration and SFD declined with increasing VPD and RR. We observed significant differences in SFD responses to changing VPD above 1 kPa, at both high and low SWCs. When SWC was low, the trees showed declining  $G_s$  as VPD increased, as





**Fig. 7.** Correlation between canopy stomatal conductance ( $G_s$ ) and climatic factors and soil water content (SWC) measured at 0.3 m during the (a) non-drought period and (b) drought period. RR, short wave radiation; VPD, vapor pressure deficit.

opposed to the periods when SWC was high. Below 35 % of SWC there was a relatively strong relationship between SFD and SWC, with  $r^2 = 0.08$ , while  $r^2$  for the relationship between SWC and SFD at  $SWC > 35\%$  was only 0.02 and was not significant. This implies that low SWC has the greatest effect on SFD. However, even if there is an adequate water supply, the conducting vessels have a limited capacity, and at some stage the stomata must react by either decreasing or holding  $G_s$  constant. In general, we found that SFD increased with increasing VPD less than 1 kPa. This response was independent of SWC during the non-drought period. At  $VPD > 1$  kPa, however, SFD reached an asymptote as has been reported for other forest ecosystems (Meinzer et al. 1993, Goulden and Field 1994, Granier et al. 1996, Hogg et al. 1997, Oren and Pataki 2001). The response of SFD to VPD above 1 kPa should reflect changes in leaf-level  $G_s$  (Hogg et al. 1997).

Transpiration rates vary with weather conditions and

soil moisture (Wang et al. 2005). The first source of variation is due to weather, because available energy and atmospheric deficit in vapor pressure drive the transpiration flux from vegetation to the atmosphere (Granier et al. 2000). The second source is the biological regulation exerted through canopy stomatal conductance. We investigated the possible causes of differences in transpiration during drought. Lower transpiration rates should reduce the drought effect, maintaining water potentials at a safe margins above critical values that could lead to cavitation. An optimization procedure was used to establish the values of  $G_s$  in the Penman-Monteith equation, giving the closest agreement with measured values of SFD, indicating that  $G_s$  was a function of VPD, but dependent, at least to some degree, on SWC.

Several studies have reported the negative effect of soil water depletion on canopy conductance. Variation of  $G_s$  can be related either to soil water reserves or soil water

deficits (Granier and Loustau 1994), or to the relative extractable water in the soil (Granier and Bréda 1996).  $G_s$  were found to progressively decrease when SWC varied from 35 to 24% (Fig. 7b), this decrease being more pronounced when SWC dropped below 35%.  $G_s$  ranged from 0.03 to 2.45 cm/s. Canopy conductance declined in response to increasing VPD during drought, and the magnitude of reduction varied over the measurement period (Fig. 7b). However, after 4 pm,  $G_s$  did not increase in response to decreasing VPD due to declining light intensities. Variation in stomatal conductance is solely based on variability in RR and VPD (Cienciala and Lindroth 1995, Granier and Bréda 1996), and transpiration (Mott and Parkhurst 1991, Monteith 1995), which correlates with bulk plant water potential (Jarvis 1976, Hinckley et al. 1978). To address the primary objective of this study, it was necessary to relate  $G_s$  to RR, SWC and VPD. An empirical relationship between  $G_s$  and RR provides a convenient approach to describing the response of stomatal conductance to varying atmospheric conditions (Jarvis 1976, Sandford and Jarvis 1986, Whitehead 1998) (Fig. 7b).

The limitation to water use appeared to be due to major contributions of canopy or meteorological factors, as well as SWC. Stomatal conductance was positively related to bulk SWC, which is compatible with the hypothesis of hydraulic feedbacks (Garnier and Berger 1987). The surprisingly low sap flow rates at high VPD (22.68 hPa) were a consequence of hot conditions in June where predawn temperatures remained well above 25°C, and relative humidity was as low as 27%. Therefore, stomata will close when the plant cannot sustain the rate of water loss driven by VPD. This suggests that all the empirical relationships established between  $G_s$  and VPD must have been influenced by the capacity of the soil-plant hydraulic conducting system. The relative insensitivity of SFD to high VPD could involve many changes related to transpiration, such as temperature (Idso et al. 1987), the water potential of foliage (Kellomäki and Wang 1996), xylem hydraulic resistance (Sperry and Pockman 1993) and water uptake of root systems (Kellomäki and Wang 2001). Early measurements of the foliage of Scots pine indicated that temperature elevation significantly reduced the sensitivity of stomatal conductance to decreasing foliage water potential under short-term water stress conditions (Kellomäki and Wang 1996), and to increasing VPD (Kellomäki and Wang 1997). It has been suggested that a feedforward response to soil drying involves the transport of a chemical message (abscisic acid) from roots to foliage, causing reduced stomatal conductance independent of leaf water potential (Wartinger et al. 1990, Tardieu et al. 1992).

In considering the influence on stomatal conductance, and hence transpiration, we have to note that there is strong evidence that the effect of leaf water status can be overridden, and certainly modified, by chemical signals from the roots, or by changes in the conductance of the hydraulic pathways (Landsberg and Gower 1997). The shorter term relationship between transpiration and soil moisture is not a suitable tool for this purpose either, because the formal statistical dependence reflects the fact that the soil is usually moist during rainy days, when transpiration is low as a result of low solar radiation/VPD which determines the energy available for evaporation from the forest stand. While SWC is not a good measure of available soil water, it is a very good indicator of the same, and has been used over ages to show drought and its influence.

## CONCLUSION

At different timescales, RR, VPD and SWC are important interacting environmental controls in the water flux of a temperate deciduous forest ecosystem. A pronounced differentiation in water consumption was identified during a drought period compared to other periods. SFD and canopy stomatal conductance decreased during drought period (Figs. 2 and 5). Results showed that the stand transpiration correlated significantly with VPD and RR. Critically low soil water supplies reduce tree water use and influence sap flux densities with increasing VPD and RR (Fig. 5). Low SWCs resulted in increased stomatal sensitivity to increasing VPD. The influence of VPD on  $G_s$  was dominant over that of SWC and RR (Fig. 7). SWC had no control on  $G_s$  during the dry period when VPD was high. Perhaps *Q. serrata* was more sensitive to VPD so that  $G_s$  did not depend on SWC during the drought period, even though SFD showed a linear relationship with SWC (<35%). The interference of VPD suppresses the control of SWC on  $G_s$ . It was shown that VPD induced a reduction in  $G_s$  with decreasing SWC (< 35%) in *Q. serrata*. It was also observed that drought induced a reduction in transpiration. The stand transpiration in the analyzed drought period of 22 days was 15 % of the total transpiration. Although the main driving factors were VPD and RR, the transpiration rate of the investigated *Q. serrata* was slightly reduced by a decrease in SWC. We concluded that the drought exerted no damage on the tested tree species. This is however limited by using only one growing season (2007) data, without replicate analysis on the effect of drought on tree water use using multi-year field

observation. A further study is therefore necessary to fully elucidate the mechanisms through which drought affects tree sap flow and stand transpiration.

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