

# Survivin, Possible Marker and Prognostic Factor in Oral Squamous Cell Carcinomas

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## Abstract

Survivin is a member of the inhibitors of apoptosis (IAP) family that have been known to inhibit activated caspases in apoptosis. In contrast to most IAP family members, survivin mRNA is expressed during fetal development, is not found in normal adult tissues and is overexpressed again in the cancer. Though survivin expression has been documented in most human cancers, little is known about its expression in OSCC and its potential value as a predictor of cancer survival.

The purpose of this study was to investigate survivin expression in OSCC and to evaluate its value as a prognostic marker. We evaluated survivin expressions in cancer lines and OSCC samples and investigated the relationships between survivin expressions and clinico-pathological parameters including stage, differentiation, proliferation, lymph node metastasis, blood vessel density, and gelatinolytic activity.

With immunohistochemistry, we analyzed survivin expression in 38 OSCCs. Patients' clinico-pathological parameters and their survival rate were analyzed to reveal their correlations with Survivin expressions. We cultured oral cancer cell lines and evaluated the correlation between gelatinolytic activities and survivin expressions of them.

Survivin protein was observed both in nuclei and cytoplasm of tumor specimens while little or not observed in normal gingival mucosal tissues. Additionally, survivin expressions were correlated with lymph node metastasis, tumor proliferation and survival rate.

Survivin expression was observed in 100% of 38 samples of OSCC and its expression levels are statistically associated with the proliferative activity of the tumors, lymph node metastasis and the survival of the patients. Based on these results, survivin is commonly expressed in OSCC and may thus provide valuable prognostic information related with lymph node metastasis, proliferation and survival rate as well as a potential therapeutic target in OSCC.

### Key words

Oral squamous cell carcinoma, Survivin, IAP, Apoptosis, Prognostic marker

## INTRODUCTION

Oral squamous cell carcinoma (OSCC) is the most common malignant head and neck tumor and is responsible for more than 90% of head and neck cancers; a class of cancers that afflict estimated 500,000 patients annually

worldwide<sup>1)</sup>. The social and medical impacts of these lesions are greater than those of other more common tumors because of their site of involvement. Despite recent advances in radiation therapy and improvements in surgical techniques, OSCC has a relatively unfavorable prognosis with 5-year survival rate of only 35%-50%<sup>2)</sup>. Although improved imaging systems and intensive research efforts have enhanced our basic mechanistic understanding of OSCC, five-year survival statistics have not changed in the past twenty years<sup>2,3)</sup>. Although this lack of progress can be explained by several observations, including failure to respond to multiple thera-

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pies and the proximity of tumors to vital and complex structures that limits the availability of surgical ablation, the primary reason for the low OSCC survival rate is the lack of appropriate tumor markers to assess risk and prognosis.

Apoptosis is a process by which senescent or damaged cells are eliminated. It is a multi-step cascade regulated by proteins that promote or inhibit cell death. Apoptosis is thought to be an important mechanism by which chemotherapy and radiation therapy remove cancer cells. Aberrant inhibition of apoptosis interferes with normal cell regulation and promotes tumor development<sup>4)</sup>.

Survivin regulates cell division and inhibits apoptosis<sup>5)</sup>. It is a member of the inhibitor of apoptosis (IAP) family, which has been shown to directly<sup>6-8</sup> and indirectly<sup>4,9)</sup> inhibit activated caspases. In contrast to most IAP family members, survivin mRNA is expressed diffusely during fetal development but not generally found in normal adult tissues. In the majority of cancers studied to date, survivin expression is associated with poor prognosis. Survivin is overexpressed in most human cancers whose occurrences include bladder<sup>10)</sup>, blood<sup>11)</sup>, colon<sup>12)</sup>, liver<sup>13)</sup>, brain<sup>14)</sup>, lung<sup>15)</sup>, pancreas<sup>16)</sup>, prostate<sup>17)</sup>, and kidney<sup>18)</sup>. And in some tumors, survivin appears to have a primarily nuclear localization<sup>13,19)</sup>. However, there is a paucity of reports dealing with survivin as a survival predictor and clinico-pathological investigations on the role of survivin for OSCC have been limited. In this study, we investigated the value of surviving as a marker aggressiveness of OSCC and prognostic significance of survivin in a consecutive, retrospective series of 38 primary oral squamous cell carcinomas.

The purpose of this study is to investigate survivin expression in OSCC and to assess its value as a prognostic marker.

We analyzed survivin expressions in OSCC cell lines and tumor samples and investigated the relationship between survivin expressions and several clinico-pathological parameters including stage, proliferation, lymph node metastasis, blood vessel density, and gelatinolytic activity.

## MATERIALS AND METHODS

### 1. Patients and Data Collection

Forty-two patients were gathered and 4 cases out of them were excluded because chemotherapy or radiother-

apy was used alone as a primary treatment modality in three cases and other simultaneous primary tumors were present in one case. Thirty-eight patients with OSCC from January 1996 to December 2001 were selected. Clinical information including cancer stage was collected from the chart records and cancer staging was based on the American Joint Committee for Cancer (AJCC) cTNM staging system, 5th edition. The patients' survivals were evaluated on June 2004.

### 2. Histo-pathological Data (Differentiation and Lymph Node Metastasis) and proliferation

Tumor differentiation and pathologically diagnosed lymph node metastasis were reviewed from the pathologic reports. Tumor differentiations were classified as well differentiation, moderate differentiation and poor differentiation.

Immunohistochemistry and proliferation index using PCNA were as follows. Tissues were fixed in 10% buffered formalin and processed with standard paraffin wax embedding procedures. Sections of four- $\mu$ m in size were taken and went through alcohol and immunostain with streptavidin biotin technique. In brief, deparaffinization and rehydration were performed in xylene and graded alcohol and then phosphate buffered saline. Slides were immersed in 0.3-3% H<sub>2</sub>O<sub>2</sub> for 10 min at room temperature to quench endogenous peroxidase, applied with one or two drops of blocking agent and allowed to remain in place for 10-20 minutes. Then specimens were covered with one or two drops of diluted primary antibody and incubated at room temperature for 12-24 hours in a closed incubation chamber. After incubation, the excess antiserum was removed from the slide with gentle stream of cold PBS from a wash bottle. DAKO monoclonal mouse anti-proliferating cell nuclear antigen, clone PC10 was used for PCNA. Color reaction was developed using 3-30-diaminobenzidine (DAB) tetrachloride with 0.02% hydrogen peroxide in Tris buffer (pH 7.4). Sections were counterstained with Harris hematoxylin, dehydrated in alcohol, cleared in xylene and mounted. Two observers independently evaluated immunostaining results without knowledge of patient clinical data. Assessment of staining reaction was carried out by counting 10<sup>3</sup> cancer cells in each sample (magnification  $\times$  400) and by calculating the labeled cell percentage of them. Samples with their proliferation indexes were categorized into high proliferating group and low proliferat-

ing group arbitrarily determined by 50% and 25% of cut-off point<sup>20</sup>.

### 3. Immunohistochemistry for micro vessel density and survivin

Four- $\mu$ m serial sections were taken from formalin-fixed, paraffin-embedded tissue blocks and placed on triethoxysilane-coated glass slides. Immunohistochemistry was performed using the streptavidin-biotin-peroxidase method. To prevent tissue peroxidase activity, tissue sections were treated with 3% H<sub>2</sub>O<sub>2</sub> for 30 min. To assess vessel density, we used the JC70 monoclonal antibody (DAKO, Copenhagen, Denmark) recognizing CD31 (platelet/endothelial cell adhesion molecule; PECAM-1) and the Ab469 anti-survivin polyclonal antibody (DAKO, Copenhagen, Denmark). The JC70 (1:20) and Ab469 (1:400) were applied at room temperature for 30 min followed by conjugation with the streptavidin-biotin-peroxidase complex. The sections were stained with 3,3'-diaminobenzidine hydrochloride (DAB) and counterstained with Mayer's hematoxylin.

Two oral pathologists reviewed each stained section. Blood vessel density was assessed with the method described by Bosari *et al.*<sup>21</sup>. Specimens where endothelial cells were immunostained with an anti-CD31 monoclonal Ab scanned and three areas of the highest vascularization were chosen at low power ( $\times 40$  and 100). The number of micro vessels of each area was counted at high microscopic field ( $\times 200$ ). The average value of the three fields was used to evaluate the significance of micro vessel density. Micro vessels adjacent to necrotic areas were excluded from the appraisal. T-test was done to evaluate the relation between survivin and micro vessel density.

To evaluate survivin immunoreactivity, we used the method previously described by Kennedy *et al.*<sup>22</sup>. Survivin immunoreactivity was evaluated semiquantitatively according to the percentage of cells demonstrating distinct nuclear and/or diffuse cytoplasmic immunohistochemical reactivity. Five areas were chosen in each slide, nuclear and cytoplasmic tumor cell immunoreactivities were not separately assessed at  $\times 40$  magnification. Arbitrary scores were assigned as follows grade 0: <5%; grade 1: 5-20%; grade 2: 21-50%; grade 3: 51-75%; grade 4: >76%. A cutoff value of >20% was established as positive result and tumors with score of 0 or 1 were considered as negative. As a positive control, MCF-7 (a breast carcinoma cell line) was used because of strongest

immunoreactivity to survivin of all the cell lines. As a negative control, duplicate sections stained without exposure to primary antibody was used.

### 4. Cell Culture

HSC-3 (a highly invasive OSCC cell line), KB (a well-known OSCC cell line), A253 (originating from a salivary gland carcinoma cell line), HT1080 (osteosarcoma cell line) and two kinds of breast carcinoma cell lines (MCF7 and MDA-MB-231) were used in this study. As a negative control, primary cultured normal oral gingival fibroblasts were used. The cells were obtained from ATCC (USA) and JCRB (Osaka, Japan) and cultured at 37 °C in a humidified atmosphere of 5% CO<sub>2</sub>. Cells were maintained with Dulbecco's Modified Eagle's Medium (DMEM: Gibco, NY, USA) supplemented with 10% fetal bovine serum (Gibco, NY, USA), 100 U/ml penicillin, and 100 $\mu$ g/ml streptomycin (Gibco, NY, USA). For subculture and re-suspension, the cells were detached from the flask after being treated with 0.1% trypsin-EDTA, and then diluted with media to a final concentration of  $5 \times 10^7$  cells/ml.

### 5. Gelatin Zymography

Gelatin zymography was done to evaluate the activity of MMP-2 by which invasiveness of tumors could be analyzed indirectly.

Gelatin was added to 10% polyacrylamide separating gel to final concentration of 0.1 mg/ml. 10 ml of the conditioned medium was acquired and filtered with a 0.22- $\mu$ m filter. Twelve  $\mu$ l of the conditioned medium from each cell was mixed with sample buffer (10% SDS, 50% glycerol, 25 mM Tris-HCl, pH 6.8, and 0.1% bromophenol blue) and loaded onto the gel. Following electrophoresis, the gels were washed twice in 2.5% Triton X-100 for 30 minutes at room temperature to remove the SDS. The gels were then incubated at 37°C overnight in substrate buffer containing 50 mM Tris-HCl and 10 mM CaCl<sub>2</sub> at pH 8.0. The gels were stained with 0.15% Coomassie blue R250 in 50% methanol, 10% glacial acetic acid for 20 minutes at room temperature and destained in the same solution without stain. HT1080 conditioned medium was used as a positive control because it showed strongest gelatinolytic activity of all the cell lines, and fresh serum free medium was used as a negative control. The gelatinolytic activities of the latent (72

kDa) and activated (62 kDa) forms of MMP-2 were analyzed as previously described<sup>23-24</sup>. The activities of the latent MMP-2 present in 10  $\mu$ l (0.5  $\mu$ g total protein) of the primary cultured normal oral mucosal fibroblasts conditioned media were defined as 10 arbitrary units of MMP-2. The activities of the latent and activated forms and the total enzymatic activity were measured by two-dimensional densitometric analysis using the BioRad scanning densitometer and Adobe software.

## 6. Western blotting

The samples were lysed in RIPA buffer containing protease and phosphatase inhibitors. Equal amounts of protein extracts were electrophoresed on 10.18% SDS polyacrylamide gels and electrophoretically transferred to nitrocellulose membranes, which were then incubated in 5% BSA for 3 hours followed by overnight incubation with antibodies against survivin. The membranes were then washed twice with Tris-buffered saline (TBS) containing Tween-20 and then with TBS alone for 10 minutes each time. Anti-rabbit and anti-mouse alkaline phosphatase-conjugated antibodies were then added to the blot and incubated for 2 hours. After washing the membrane, color was developed using the 5-bromo-4-chloro-3-indolyl phosphate/nitroblue tetrazolium (BCIP/NBT) substrate from Promega (Madison, WI, USA). The bands were analyzed and quantitated using a BioRad scanning densitometer. The HT1080 cell line was used as a positive control. The normal gingival fibroblast was used as a negative control. Protein expression was expressed as relative units (RU). One hundred relative units is the ratio of the density of a positive control to that of a negative control.

## 7. Statistical Analysis

Statistical analysis was performed using the SPSS system (release 10.0 software). The correlation test and Chi-square test were used to investigate the relationship between survivin expression and other clinico-pathological parameters. Correlation was also estimated by Spearman's rank correlation coefficient ( $\rho$ ) in the case of *in vitro* studies. T-test was done to evaluate the relation between survivin expression and blood vessel density. Survivin expression results were also analyzed relative to patient outcome using the survival test. Time was calculated from the day of surgery to the study endpoint

(June, 2004). Survival curves were estimated by the Kaplan-Meier test and log rank test. Nominal or numeric variable influence on survival was assessed by Univariate analysis. P value <0.05 was considered statistically significant.

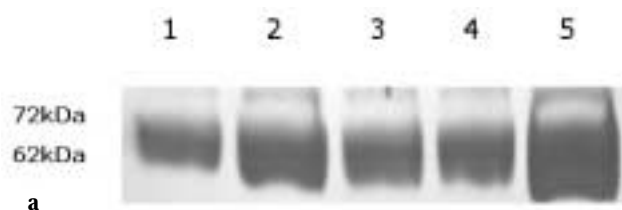
## RESULTS

### 1. Survivin expression and its relationship with Gelatinolytic activity in tumor cell lines (Fig. 1-a,b)

The latent and activated forms of MMP-2 were observed at positions of 72kDa and 62 kDa respectively. As shown in Fig. 1, the gelatinolytic activities in the cancer cell lines were higher than in normal mucosal gingival fibroblasts, the latter producing only a vague band on the zymogram gel plate. Significant differences were also observed in the gelatinolytic activities among the different tumor cell lines. The total gelatinolytic activity of HT1080 was  $155.6 \pm 9.9$ U, the highest among the group. Those of HSC-3 and MCF-7 were  $103.1 \pm 4.9$ U and  $99.7 \pm 7.0$ U, respectively, and belonged to a homogeneous subset. The next homogeneous subset of cancer cell lines included KB, MDA-MB-231, and A253. These lines showed the lowest gelatinolytic activity ( $84.9 \pm 3.3$ U,  $72.9 \pm 10.0$ U,  $65.4 \pm 5.5$ U, respectively). By western blot, survivin expressions were detected in all tumor cell lines and normal gingival fibroblast cells. Although, like its gelatinolytic activity, HT1080 survivin expression was higher than any other cell lines; the differences of survivin expressions did not vary much among other cell lines. In statistical analysis using correlation testing with Spearman's  $\rho$  (0.6), there was no significant correlation between gelatinolytic activity and survivin expression ( $p=0.208$ ). Survivin expressions were variable in repeated experiments, and these phenomena were reflected in the expression data and by the high standard deviation (SD) (Fig. 1-c).

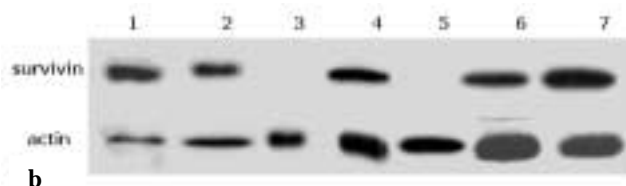
### 2. Clinicopathological profile of patient and immunohistochemical localization of survivin in oral squamous cell carcinomas

Patients' ages ranged from 40 to 75 with a median value of 58.5 years. Twenty-five patients (65.8%) were male and fourteen (34.2%) female. Histopathological examination of lymph nodes demonstrated metastasis in 21 of 38 patients who had undergone curative surgical neck dis-



**Fig. 1-a.** Gelatinolytic activity

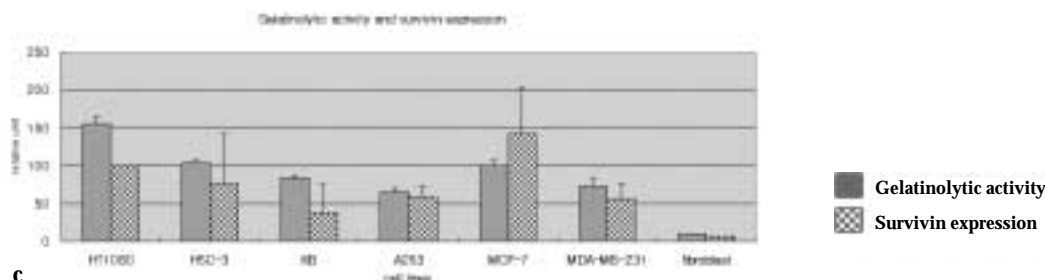
lane 1: positive control (HT1080), lane 2: MCF7, lane 3: HSC3, lane 4:KB, lane 5: negative control (normal oral mucosal fibroblast)



**Fig. 1-b.** Western blotting

Western blotting for survivin in cultured cell lines. Two parallel gel images at different experimental timing were shown. As shown, there were many differences in survivin expression at each experiment. In all cases, lysates were normalized for total protein content before SDS-PAGE/immunoblot assay. Single bands of various intensities around 16 kDa indicated the expression of survivin at various levels.

lane 1: HT1080, lane 2: MCF7, lane 3: HSC3, lane 4:KB, lane 5: normal oral mucosal fibroblast, lane 6: A253 lane, 7: MDA-MB-231



**c**

**Fig. 1-c.** The relative levels of expression of survivin and gelatinolytic activity in each cell line.

No correlation was shown between survivin and gelatinolytic activity. (Spearman's  $\rho=0.6$ ,  $P>0.05$ ).

section (Table 1). In this study, most cases were well differentiated. There were 30 (78.9%) cases of well differentiation, 5 (13.2%) of moderate differentiation, and 3 (7.9%) of poor differentiation. The moderately and poorly differentiated groups were therefore combined into a group for statistical analyses. Cancer stage based on TNM was evaluated and the numbers of stage I, stage II, stage III, and stage IV cases were 6, 5, 10, and 17, respectively. There were no cases showing distant metastasis. Proliferating indexes were obtained and cutoff points were determined at 50% and 25% stained percentage and at each cutoff point were determined two groups: a highly proliferating group and a lowly proliferating group.

At 50% cutoff point, 21 cases belonged to the lowly proliferating group and 17 cases to the highly proliferating group. At 25% cutoff point, 10 cases belonged to the lowly proliferating group and 28 cases to the highly pro-

liferating group. In the 38 OSCC biopsy specimens, immunohistochemical analysis revealed that survivin was present in the nuclei and cytoplasm of tumor cells. Survivin stained had a granular appearance in the cytoplasm of cancer cells (Fig. 2). Eight tumors (21.0%) did not express survivin above the cutoff value of 20% and 30 tumors (79%) showed survivin expression above the cutoff value. The distribution of survivin in tumors was as follows: 0% grade 0, 21.0% grade 1; 39.5% grade 2; 7.9% grade 3; and 31.6% grade 4.

### 3. Relationship between expression of survivin and histological lymph node metastasis (Table 2)

Twenty cases of 21 OSCCs (95.2%) with lymph node metastasis had high levels of survivin expression. On the other hand, only 10 cases of 17 OSCCs (58.8%) without

**Table 1.** Clinicopathological profiles and immunohistochemical expression of survivin in 38 OSCCs

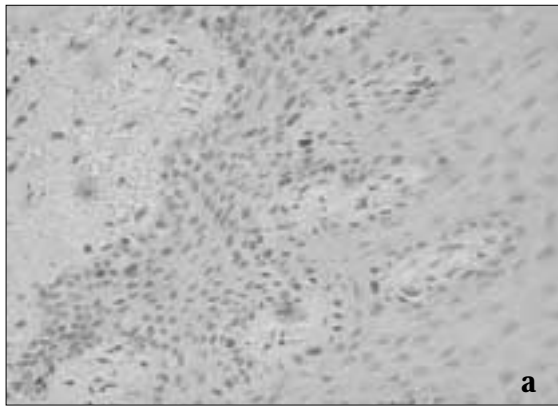
case	age	sex	proliferation		stage	differentiation	lymph node metastasis	Micro vessel density	survivin immunoreactivity
			25%	50%					
1	40	M	H	H	4	W	+	72	2
2	41	M	H	L	3	W	+	65	2
3	41	F	H	L	4	W	+	47	4
4	41	M	H	H	4	W	+	26	4
5	43	F	L	L	2	W	-	23	1
6	46	F	H	H	3	W	-	27	1
7	47	F	H	L	4	P	+	41	4
8	48	F	H	H	1	W	-	52	2
9	49	M	H	H	3	W	+	64	3
10	49	f	H	H	4	W	+	71	4
11	50	M	L	L	3	W	+	68	2
12	51	F	L	L	4	W	-	62	1
13	52	F	H	H	3	W	+	59	2
14	53	M	L	L	2	M	-	50	4
15	53	M	H	H	1	W	-	82	4
16	55	F	H	H	4	M	-	26	1
17	55	M	H	L	3	W	+	68	4
18	58	M	H	L	1	W	-	128	2
19	58	M	H	H	2	W	-	33	2
20	59	M	L	L	4	W	+	51	1
21	59	M	H	L	3	W	+	12	2
22	60	M	H	H	1	W	-	27	2
23	60	M	H	L	4	P	+	23	3
24	62	F	L	L	4	W	+	68	4
25	62	M	H	H	4	W	+	68	4
26	64	M	L	L	4	W	-	45	1
27	65	M	L	L	4	W	-	25	1
28	65	M	H	L	3	W	+	36	4
29	66	M	H	L	1	W	-	50	2
30	66	F	H	H	3	M	+	29	2
31	66	F	H	L	3	W	+	28	4
32	67	F	H	H	2	W	-	26	2
33	67	M	H	H	4	P	+	50	4
34	68	M	H	H	2	M	-	40	2
35	68	M	L	L	4	W	+	69	2
36	71	M	H	L	1	W	-	68	2
37	72	F	H	H	4	M	+	68	3
38	75	M	L	L	4	W	-	68	1

W: well differentiated, M: moderately differentiated, P: poorly differentiated

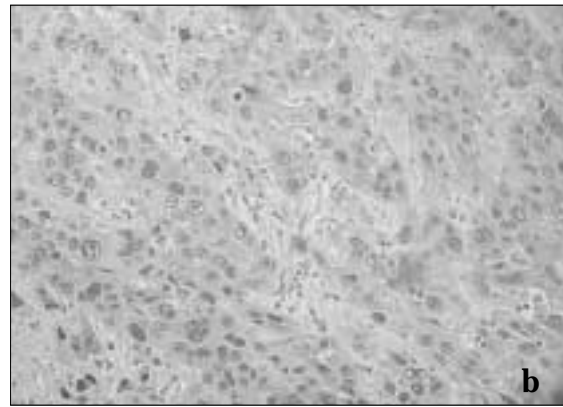
H: highly proliferating or high micro vessel density, L: lowly proliferating or low micro vessel density

lymph node metastasis had high levels of Survivin expression. In contrast, only 1 case of 21 OSCCs (4.8%) with lymph node metastasis had low levels of Survivin expression whereas 7 cases of 17 OSCCs (41.2%) without

lymph node metastasis had low levels of survivin. Statistical analysis using the Chi-square test revealed a significant correlation between survivin expression and lymph node metastasis (P = 0.006).



a. Infiltrating tumor cells showing distinct nuclear positivity with polyclonal antibody to survivin Original magnification  $\times 100$ .



b. Representative immunohistochemical data showing nuclear staining of survivin in OSCC. Note both nuclear and cytoplasmic staining. Original magnification  $\times 200$ .

**Fig. 2.** Immunohistochemical expression of survivin.

**Table 2.** Correlation between survivin expression and lymph node metastasis

Immunoreactivity of survivin	Number of Cases		P value ( $\chi^2$ )
	Without lymph node involvement (%)	With lymph node involvement (%)	
High (grade 2 or 3 or 4)	10 (58.8)	20 (95.2)	P=0.006<0.05
Low (grade 0 or 1)	7 (41.2)	1 (4.8)	
Total	17 (100)	21 (100)	

**Table 3.** Correlation between survivin expression and histological differentiation

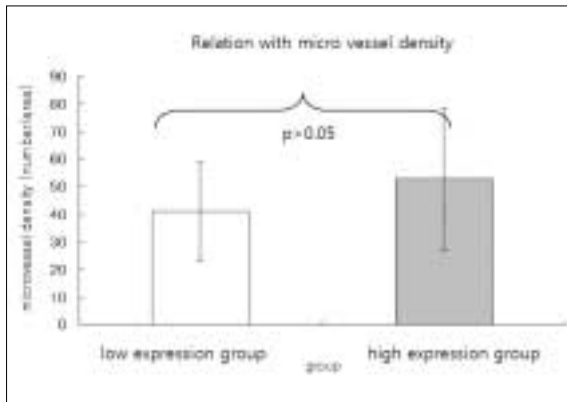
Immunoreactivity of survivin	Number of Cases		P value ( $\chi^2$ )
	Well (%)	Moderate or Poor (%)	
High (grade 2 or 3 or 4)	23 (76.7)	7 (87.5)	P=0.504>0.05
Low (grade 0 or 1)	7 (23.3)	1 (12.5)	
Total	30 (100)	8 (100)	

**Table 4.** Correlation between survivin expression and cancer stage

Immunoreactivity of survivin	Number of Cases				P value ( $\chi^2$ )
	Stage 1	Stage 2	Stage 3	Stage 4	
High (grade 2 or 3 or 4)	6 (100)	4 (80.0)	10 (100)	11 (64.7)	P=0.091>0.05
Low (grade 0 or 1)	0 (0)	1 (20.0)	0 (0)	6 (35.3)	
total	6 (100)	5 (100)	10 (100)	17 (100)	

**Table 5.** Correlation between survivin expression and proliferation

Immunoreactivity of survivin	Number of Cases		P value ( $\chi^2$ )
	Low (under 25%) (%)	High (over 25%) (%)	
High (grade 2 or 3 or 4)	4 (40.0)	26 (92.9)	P=0.000<0.05
Low (grade 0 or 1)	6 (60.0)	2 (7.1)	
Total	10 (100)	28(100)	



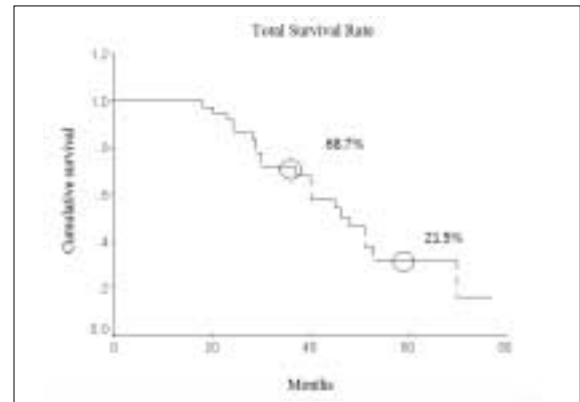
**Fig. 3.** Relationship between survivin expression and blood vessel density. No relationship between survivin expression and blood vessel density (P>0.05)

**4. Relationship between expression of survivin and histological differentiation, microvessel density and cancer stage (Table 3, 4, Fig. 3)**

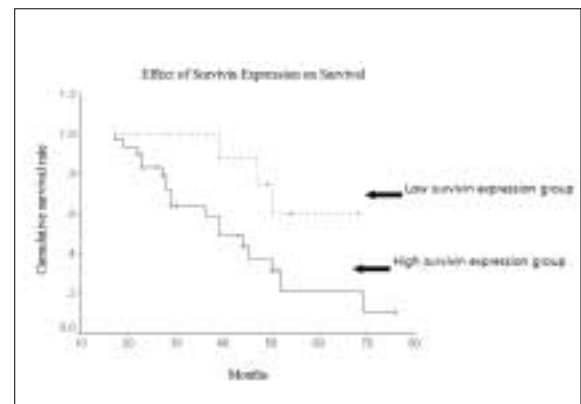
As shown in Tables 3, 4 and Fig. 3, we found no statistically significant results between the expressions of survivin and histological differentiation, micro vessel density, or cancer stage (Table 3, 4. P = 0.504, and 0.091, respectively, Fig. 3. P=0.6).

**5. Relationship between expression of survivin and proliferation of OSCCs (Table 5)**

Using the 50% cutoff point for the PCNA staining index, there was no significant correlation between survivin expressions and proliferation of OSCCs (P = 0.241). However, survivin expression was significantly related with the subgroup of proliferation at 25% cutoff point. Statistical analysis using the Chi-square test revealed a significant correlation between survivin expression and the proliferation (P = 0.000<0.05). 26 cases of 28 OSCCs



**Fig. 4.** Kaplan-Meier estimation of overall survival. As marked in the graph, 3-year and 5-year survivals are estimated to 68.7% and 21.5% respectively.



**Fig. 5.** Effect of survivin expression on overall survival in OSCCs.

Kaplan-Meier overall survival curves for OSCC patients stratified according to survivin levels (dotted line: low survivin expression group, solid line: high survivin expression group)

(92.5%) with high proliferating index had high levels of survivin. In contrast, only 4 cases of 10 OSCCs (40.0%) with low proliferating index had high levels of survivin.



## 6. Effect of survivin expression on patient survival (Fig. 4, 5)

Period of survival varied from 39 to 76 months with its median of 47.06 months. The overall 3-year survival rate was 68.0% and 5-year survival rate, 21.5% (Fig. 4). Univariate analysis demonstrated that survivin overexpression had a significant negative effect on survival rate. The Kaplan-Meier survival curve showed significant separation (Fig. 5). Log rank tests showed significant survival difference between the high survivin expression group and the low survivin expression group ( $P = 0.0441$ ).

## DISCUSSION

Many clinical risk factors of OSCC have been suggested as useful prognostic factors. Unfortunately, the prognostic value of these risk factors has been called into question by several clinicians and researchers. Identification of better diagnostic factors is necessary to assist the clinicians in making more accurate lesional staging and to aid in predicting prognosis. Improved prognostication would be clinically valuable, particularly where initial therapy might be tailored to tumor aggressiveness because advanced surgical reconstruction could be applied in particularly difficult cases.

The inhibitor of apoptosis family (IAPs) of proteins may have a greater potential to inhibit apoptosis than any other family of apoptotic inhibitors, including the bcl-2 family<sup>6</sup>. Humans possess eight IAP family members: NAIP, cIAP1, cIAP2, XIAP, Ts-XIAP, ML-IAP, Apollon, and survivin<sup>4</sup>.

Survivin was recently identified as a tumor marker that regulates cell division and inhibits apoptosis<sup>24</sup>. Additionally, survivin has been shown to directly and indirectly inhibit activated caspases<sup>6,9</sup>.

Among the IAP family members, survivin has several peculiar characteristics. First, the structure of survivin is unique in that it contains a single baculovirus IAP repeat and no zinc-binding domain (RING finger). Second, survivin is expressed selectively in common human cancers. Additionally, while fetal tissues express survivin, normal adult tissues do not express survivin except in thymus and placenta<sup>25</sup>. Thus, it has been postulated that survivin is one of the most tumor-specific gene products. In fact, several studies have demonstrated that survivin is overexpressed in many types of human cancer<sup>13</sup>. Also, previ-

ous articles even reported that survivin expression was a molecular prognostic marker predicting survival<sup>10-12,22</sup> and lymph node metastasis<sup>26</sup>. But there were reports with other opinion that survivin expression cannot be considered a prognostic indicator of disease outcome<sup>28</sup>.

A lack of consensus exists regarding the importance of the intracellular location of survivin expression in common epithelial tumors. Previous studies analyzing survivin expression by immunohistochemistry have shown a predominantly cytoplasmic distribution<sup>25,29</sup>. However, nuclear accumulation of survivin has been recently described in other human tumors<sup>19,30</sup>. The reason and meaning for these differences in cell distribution between tumors are not clear. It has been proposed that the subcellular distribution of survivin is regulated by active import into the nucleus and by CRM1-mediated export to the cytoplasm, suggesting that survivin may be considered a nuclear shuttling protein. Therefore, the almost exclusively cytoplasmic localization in a high number of tumors may be the result of a higher rate of nuclear export<sup>31</sup>. Most of the previous studies analyzing the prognostic significance of survivin expression were performed without accounting for the subcellular location of the protein. In the present study, meaningful differences of nuclear and cytoplasmic staining could not be found in most specimens.

The first aim of our study was to investigate the expression of survivin protein in OSCC by immunohistochemistry and to investigate its association with the histological parameters and proliferative activity of the tumors as well as with the survival rates of patients. Survivin protein was detected with a variable number of positive cells ranging from 5% to 95%, and survivin was detected in both nuclear and cytoplasmic fraction of tumor cells. In five normal gingival mucosal tissues, survivin expressions were sparse or not observed. These results suggest that survivin is commonly expressed in OSCC and that immunohistochemically verified expression of survivin could be an important cancer marker for OSCC.

Survivin was originally reported to be present during fetal life but undetectable in differentiated adult tissues<sup>5</sup>. Subsequent studies have shown that survivin is expressed in the normal endometrium, a highly proliferating tissue<sup>32</sup>. These results revealed the close correlation with proliferation and differentiation. Expression of the gene occurs in the G2/M phase in a strict cell cycle-dependent manner<sup>33</sup>, thus potentially explaining preferential expressions of survivin in poorly differentiated

and metastatic OSCC cases which likely to exhibit high proliferative potential. It has recently been suggested that the protective anti-apoptotic effect of survivin in proliferating malignant cells might be a mechanism to stabilize tumor cells with chromosomal abnormalities, favoring the survival of these cells and the progression of the tumors<sup>34</sup>. In the present study, survivin was mostly expressed in the proliferating basal cell layer of tumor mass. In the normal squamous cell epithelium of the gingival mucosa survivin was also mainly localized in the basal layer. The restriction of survivin expression to the basal layer of the normal squamous cell epithelium suggests that survivin may be related to cell proliferation also in OSCC. Statistical analysis revealed that survivin expression significantly correlated with the proliferating index. Survivin has been implicated in a dual role in connection of suppression of apoptosis to regulation of chromosomal segregation and cell division<sup>35</sup>. Targeting experiments using antisense RNAs against survivin or dominant-negative mutants resulted in spontaneous apoptosis, increased caspase activity, and inhibition of cell proliferation<sup>36</sup>. A role for survivin in blocking apoptosis has also been demonstrated *in vivo*<sup>37</sup>. However, the mechanisms of antiapoptotic effect by survivin are not completely clear.

By contrast, we observed no correlation between survivin expression and differentiation in the present study. Survivin is the only member of the IAP family for which a function in the nucleus has been identified<sup>38</sup>. Therefore, it might be assumed that dedifferentiation of normal epithelium as carcinogenesis and tumor progression cause re-expression of survivin<sup>39</sup>. Unfortunately, in the case of OSCC, correlation between these events cannot be confirmed because of the naturally heterogeneous biological characteristics of OSCC and because of the difficulty of determining differentiation states.

Invasion into adjacent structure via basement membrane destruction and subsequent lymph node metastasis contributes to poor OSCC prognosis<sup>40</sup>. The relationship between survivin expression and metastasis may also arise from an essential function of survivin: when survivin inhibits apoptosis, the proportion of cancerous cells in a tissue increases with continued growth. Previous studies have indicated that when abnormal cells that would otherwise be removed by apoptosis continue to grow, their potential for invasion and metastasis increases<sup>41,42</sup>. The present study revealed a significant correlation between lymph node metastasis, a condition

related to the invasion ability of OSCC, and survivin expression. Therefore, it seems likely that increased lymph node metastasis in OSCC with high survivin levels might stem from increase in invasiveness.

Based on the results of the proliferation and lymph node metastasis, we postulated that clinical cancer stages based on TNM staging system might be closely related with survivin expression because TNM system reflects tumor growth through proliferation (T-score) and lymph node metastasis (N-score). The advanced stage of disease in OSCC may reflect the rapid growth and aggressiveness of the tumor itself rather than a late diagnosis of a slowly growing tumor. However, there was no significant correlation between stage and survivin expression. This suggests that clinical TNM staging system be severely limited because it might only crudely reflect the severity or the clinical aspects of OSCC. For example, tumor size (T-stage) and lymph node metastasis (N-stage) are changed with time, although survivin expression could not be thought determined much by time factor. Another example is small sized tumor with bony erosion. It should be categorized into stage 4 in cTNM system regardless of its size.

To identify the primary protein responsible for tumor invasiveness, we used an *in vitro* model involving cell lines and zymography. The target was MMP-2; an enzyme that is important for extracellular matrix turnover and that has recently been implicated in invasion<sup>26</sup>. The assumption was that the upregulated invasiveness of OSCC cells by MMP-2 might be closely linked to survivin expression.

The molecular interaction between survivin and MMPs is still unclear. However, recent studies have demonstrated that highly metastatic cancers exhibit a higher resistance to apoptotic cell death compared with low metastatic forms<sup>43,44</sup>, and that survivin expression is closely associated with the invasive phenotype of esophageal<sup>45</sup> and ovarian<sup>46</sup> cancers. Yoshida et al. 46 reported that the expression of survivin enhanced invasive activity of ovarian cancer cells by up-regulation of MMP-2. Interestingly, there was a no close correlation between survivin and MMP-2 activity in the tumor cell lines examined. These results suggest that overexpression of both survivin and MMP-2 in the tumor cell lines could not be directly correlated, and invasive OSCC cells might escape apoptosis not by expressing a higher level of survivin. Additional studies are needed to clarify the molecular events that co-regulate the expression of sur-

vivin and the MMP genes in OSCC.

In the present study, we investigated the relation between micro vessel density and survivin expression. Angiogenesis is essential for cancerous tissues to receive adequate nutrition necessary for their continuous growth. Tumors exhibiting high numbers of micro vessels have been shown to possess high metastatic potential<sup>47,48</sup>. In one study, VEGF stimulation caused increased expression of survivin<sup>49</sup>. Conversely, survivin may prevent new blood vessels formed by VEGF from disappearing by apoptosis. Thus, it might be assumed that VEGF and survivin appear to function cooperatively to increase and maintain newly formed blood vessels. In the present study, however, no significant relation was found between survivin expression and vessel density. Although no significant relation was detected in this study, a larger-scale study will be needed to prove that newly formed blood vessels are apparently retained, providing greater blood flow to the cancerous tissue and promoting metastasis in patients with high survivin expression.

It has been reported that survivin expression might provide a strong advantage factor for tumor progression by affording protection from broad apoptosis-inducing stimuli and by maintaining proper mitotic progression of the proliferating and invasive population<sup>20</sup>. However, the prognostic value of survivin expression in OSCC remains a controversial issue. Our study suggests that survivin levels could have prognostic value for the progression of OSCC. OSCC patients with high survivin expression had a shorter overall survival. In this regard, it is of note that survivin overexpression in other human cancers has been associated with unfavorable prognostic features, poor response to therapy, high relapse rate, and shortened survival<sup>12,15,35</sup>. Furthermore, survivin has been considered to be an independent prognostic factor in some cancers<sup>11</sup>.

Although present results support survivin expression in tumor as a powerful prognostic factor for the progression of OSCC and patient survival, the present study has some limitations. First, because this study included only 38 patients, larger prospective studies will be required to confirm the role of survivin expression in OSCCs. Second, studies of additional molecular markers, including bcl-2 proteins and other IAP family members, will assist in a better understanding of OSCC. Future studies should also investigate tumor response to treatments such as radiotherapy and chemotherapy. Conclusively,

the results from this study indicate that survivin is commonly expressed in OSCC and that its expression levels are strongly associated with tumor proliferation, lymph node metastasis, and patient survival rate. These results suggest a potential role in cell cycle regulation and tumor progression. Survivin may provide prognostic information and have great potential as a therapeutic target in OSCC.

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