

## FOURIER MULTIPLIERS ON CERTAIN HARDY SPACES

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ABSTRACT. We prove de Leeuw's restriction theorem result Jodeit Jr. [4] for multipliers on  $H^p$  spaces,  $p < 1$ .

### 1. INTRODUCTION

Let  $0 < p < 1$ . We denote the quasi norm

$$\left( \sup_{\alpha > 0} \alpha^p |\{x \in \mathbb{R}^n : |f(x)| > \alpha\}| \right)^{1/p}$$

of  $f$  in  $L^{p,\infty}$  by  $\|f\|_{L^{p,\infty}}$ , and the inverse Fourier transform of  $f$  by  $f^\vee$ . Let  $T_m f = m^\vee * f$ . We define the class of Fourier multipliers  $\mathcal{M}(H^p, L^{p,\infty})(\mathbb{R}^n)$  to be the set of all bounded measurable functions  $m$  so that for all  $f \in C_0^\infty(\mathbb{R}^n) \cap H^p(\mathbb{R}^n)$ ,

$$\|T_m f\|_{L^{p,\infty}} \leq C \|f\|_{H^p}.$$

The best constant  $C$  is the quasi norm of the operator  $T_m$ , and we write  $\|m\|_{\mathcal{M}}$  for this quantity.

Let  $1 \leq p < 2$ . We define the class of Fourier multipliers  $\mathcal{M}(L_{rad}^p, L^{p,\infty})$  to be the set of all bounded measurable functions  $m$  so that for all  $f \in C_0^\infty(\mathbb{R}^k) \cap L_{rad}^p(\mathbb{R}^k)$ ,

$$\|T_m f\|_{L^{p,\infty}} \leq C \|f\|_{L_{rad}^p}.$$

The best constant  $C$  is the norm of the operator  $T_m$ , and we write  $\|m\|_{\mathcal{M}}$  for this quantity.

We now split  $\mathbb{R}^{k+l} = \mathbb{R}^k \oplus \mathbb{R}^l$ , and denote by  $L_{rad}^p(L^p)$  the space of all measurable functions  $f$  of the form  $f(x', x'') = g(|x'|, x'')$  where  $g$  is defined on  $(0, \infty) \times \mathbb{R}^l$ , for

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which

$$\|f\|_{L_{rad}^p(L^p)} = \left( \int_{\mathbb{R}^l} \int_0^\infty |g(s, z)|^p s^{k-1} ds dz \right)^{1/p}$$

is finite.

We define the class of Fourier multipliers  $\mathcal{N}(L_{rad}^p(L^p), L^{p,\infty})$  as the set of all bounded measurable functions  $m$  so that for all  $f(x', x'') = g(|x'|, x'') \in C_0^\infty(\mathbb{R}^{k+l})$ ,

$$\|T_m f\|_{L^{p,\infty}} \leq D \|f\|_{L_{rad}^p(L^p)}.$$

The best constant  $D$  is the quasi norm of the operator  $T_m$ , and we write  $\|m\|_{\mathcal{N}}$  for this quantity.

In Hong [2], the convolution operator generated by Fourier multipliers supported on the cone is of weak type  $(p, p)$  on  $H^p(\mathbb{R}^{n+1})$ ,  $0 < p < 1$  for the critical value  $\delta_p = n(1/p - 1/2) - 1/2$ . By de Leeuw type restriction theorem (see appendix in Hong [2]), this estimate implies the known result that the Bochner-Riesz means of the critical index  $\delta_p = n(1/p - 1/2) - 1/2$  is of weak type  $(p, p)$  for functions in  $H^p(\mathbb{R}^n)$  (see Stein, Taibleson & Weiss [7]).

Similarly, in Hong [3] the convolution operator associated with a localized height of cone multipliers is of weak type  $(p, p)$  for the functions of the form  $f(x, t) = g(|x|, t)$  if  $p = 2n/(n + 1 + 2\delta)$  and  $0 < \delta \leq (n - 1)/2$ . Then by de Leeuw type restriction theorem (see appendix in Hong [3]), this result implies the weak type endpoint estimate for the Bochner-Riesz means on radial functions in  $L^p(\mathbb{R}^n)$  where  $p = 2n/(n + 1 + 2\delta)$  and  $0 < \delta \leq (n - 1)/2$ , which is proved by Chanillo & Muckenhoupt [1]. For the related result on real Hardy spaces, see also Liu [5].

In the above examples, Fourier multipliers are continuous. Here, the purpose of this article is to prove de Leeuw's restriction theorem Jodeit Jr. [4] when Fourier multipliers are in the class  $L^\infty$ , and belong to the class  $\mathcal{M}(H^p, L^{p,\infty})(\mathbb{R}^{k+l})$ ,  $p < 1$ . Here  $H^p$  is the standard real Hardy space as defined in Stein [6]. Further, we also consider the restriction theorem when Fourier multipliers are in the class  $L^\infty$ , and contained in the class  $\mathcal{N}(L_{rad}^p(L^p), L^{p,\infty})$ ,  $1 \leq p < 2$ .

**Theorem 1.** *Let  $0 < p < 1$ . Let  $m(\xi', \xi'')$  be contained in the class*

$$\mathcal{M}(H^p, L^{p,\infty})(\mathbb{R}^{k+l}).$$

*Then for almost every  $\xi''$ ,*

$$m_{\xi''}(\xi') \equiv m(\xi', \xi'')$$

is contained in the class  $\mathcal{M}(H^p, L^{p,\infty})(\mathbb{R}^k)$  and the multiplier norm of  $m_{\xi''}$  does not exceed that of  $m$ .

*Remark 1.* It is not known whether  $m$  can be contained in the class

$$\mathcal{M}(H^1, L^{1,\infty})(\mathbb{R}^{k+l}).$$

**Corollary 1.** Let  $1 \leq p < 2$ . Let  $m(\xi', \xi'')$  be contained in the class

$$\mathcal{N}(L_{rad}^p(L^p), L^{p,\infty}).$$

Then for almost every  $\xi''$ ,

$$m_{\xi''}(\xi') \equiv m(\xi', \xi'')$$

is contained in the class  $\mathcal{M}(L_{rad}^p, L^{p,\infty})$  and the multiplier norm of  $m_{\xi''}$  does not exceed that of  $m$ .

*Remark 2.* When  $p = 2$ , there is an example that Fourier multiplier  $m$  is not contained in the class  $\mathcal{N}(L_{rad}^p(L^p), L^{p,\infty})$  (see Hong [3]).

## 2. RESTRICTION THEOREM ON $H^p$ SPACES, $p < 1$

An atom is defined as follows: Let  $0 < p \leq 1$  and  $s$  be an integer that satisfies  $s \geq n(1/p - 1)$ . A  $(p, s)$ -atom is a function  $\mathbf{a}$  which is supported on a cube  $Q_j$  with center  $x_j$ , and which satisfies

$$(i) \quad |\mathbf{a}(x)| \leq |Q_j|^{-1/p};$$

$$(ii) \quad \int_{\mathbb{R}^n} \mathbf{a}(x) x^\gamma dx = 0$$

where  $\gamma = (\gamma_1, \gamma_2, \dots, \gamma_n)$  is a  $n$ -tuple of non-negative integers satisfying  $|\gamma| \leq \gamma_1 + \gamma_2 + \dots + \gamma_n \leq s$ , and  $x^\gamma = x^{\gamma_1} x^{\gamma_2} \dots x^{\gamma_n}$ .

If  $\{\mathbf{a}_j\}$  is a collection of  $(p, s)$ -atoms and  $\{c_j\}$  is a sequence of complex numbers with  $\sum_{j=1}^{\infty} |c_j|^p < \infty$ , then the series  $f = \sum_{j=1}^{\infty} c_j \mathbf{a}_j$  converges in the sense of distributions, and its sum belongs to  $H^p$  with the quasi norm

$$\|f\|_{H^p} = \inf_{\sum_{j=1}^{\infty} c_j \mathbf{a}_j = f} \left( \sum_{j=1}^{\infty} |c_j|^p \right)^{1/p}$$

(see Stein [6]).

**Lemma 1.** Suppose  $f_\epsilon$  and  $f$  are measurable functions on  $\mathbb{R}^n$  and  $f_\epsilon \rightarrow f$  almost everywhere. Assume that  $\|f_\epsilon\|_{L^{p,\infty}} \leq C^{1/p}$  for some  $C > 0$  and for all  $\epsilon > 0$ . Let  $\alpha > 0$  be fixed. Then  $\alpha^p |\{x \in \mathbb{R}^n : |f(x)| > \alpha\}| \leq C$ .

*Proof.* See Hong [2, 3] □

**Proposition 1.** *Let  $f(x', x'') = (f_1 \otimes f_2)(x', x'')$  for  $f_1 \in C_0^\infty(\mathbb{R}^k) \cap H^p(\mathbb{R}^k)$  and  $f_2 \in C_0^\infty(\mathbb{R}^l)$ . Then*

$$(1) \quad \|f\|_{H^p(\mathbb{R}^{k+l})} \leq A \|f_1\|_{H^p(\mathbb{R}^k)},$$

where  $A$  depends on the support of  $f_2$ .

*Proof.* We first consider the atomic decomposition of  $f_2$ . Suppose  $\text{supp } f_2 \subset K$ , where  $K$  is a compact set. Fix a positive smooth function  $\zeta$  that equals 1 in the cube of side length 1 centered at the origin and vanishes out the concentric cube of side length 1. We set

$$\zeta_j(x) = \zeta\left(\frac{|x - x_j|}{2R_j}\right),$$

where  $x_j$  is the center of the cube  $Q_j$  and  $2R_j$  is the side length. Write

$$\eta_j = \frac{\zeta_j}{\sum_k \zeta_k}, \quad \text{and } Q_j^*$$

is the cube concentric with  $Q_j$  and with sides twice the length. The  $\zeta_j$  form a partition of unity for the set  $K$  subordinate to the finite cover  $\{Q_j^*\}_{j=1, \dots, n}$  of  $K$ , that is  $\chi_K = \sum_{j=1}^n \eta_j$  with each  $\eta_j$  supported in the cube  $Q_j$ .

Set

$$f_j = f \eta_j \cdot c^{-1} |Q_j|^{-1/p}, \quad \text{and } \lambda_j = |Q_j|^{1/p}.$$

It is clear from this that  $f_j$  is supported in  $Q_j$  and that  $|f_j| \leq |Q_j|^{-1/p}$  there. Also it is easily seen that  $\sum_{j=1}^n |\lambda_j|^p = \sum_{j=1}^n |Q_j|$ . So  $f_2 = \sum_{j=1}^n \lambda_j f_j$  is an atomic decomposition, except that  $f_j$  does not satisfy the cancellation property.

Fix a smooth function  $\Phi$  on  $B(0, 1)$  (the unit ball about the origin) with

$$\int_{\mathbb{R}^l} \int_{\mathbb{R}^k} \Phi(x', x'') dx' dx'' \neq 0.$$

For  $t > 0$ , set  $\Phi_t(x) = t^{-n} \Phi(x/t)$ , so that

$$\int_{\mathbb{R}^l} \int_{\mathbb{R}^k} \Phi_t(x', x'') dx' dx'' \neq 0$$

for all  $t$ . Now let

$$M_\Phi f(x', x'') = \sup_{t>0} |(f * \Phi_t)(x', x'')|.$$

Suppose that  $f_1$  is  $(p, N)$ -atom ( $N \geq k(1/p - 1)$ ) on  $\mathbb{R}^k$  which is supported in a cube  $Q_1$  of side length  $2^{R_1}$  centered at  $\bar{x}'$ , and  $f_2$  is an atom on  $\mathbb{R}^l$  which is supported in the cube  $Q_2$  of side length  $2^{R_2}$  centered at  $\bar{x}''$ . Then  $f_1 \otimes f_2$  is a  $(p, \tilde{N})$ -atom

( $\tilde{N} \geq (k+l)(1/p-1)$ ) on  $\mathbb{R}^k \times \mathbb{R}^l$ , which is supported in a cube  $Q_1 \otimes Q_2$  of diameter  $(2^{R_1} + 2^{R_2})^{1/2}$  centered at  $(\overline{x'}, \overline{x''})$ .

Consider the case  $(x', x'') \in (Q_1 \otimes Q_2)^*$ . Since  $M_{\Phi} f(x', x'') \leq C |Q_1 \otimes Q_2|^{-1/p}$ , then

$$(2.1) \quad \int_{x'} \int_{x''} [M_{\Phi} f(x', x'')]^p dx' dx'' \leq C.$$

Next, consider the case  $(x', x'') \in \{(Q_1 \otimes Q_2)^*\}^c$ . Using the momentum conditions on  $f_1 \otimes f_2$ , that is

$$\int_{\mathbb{R}^l} \int_{\mathbb{R}^k} (x' \otimes x'')^{\beta} (f_1 \otimes f_2)(x', x'') dx' dx'' = 0$$

for all  $\beta$  with  $|\beta| \leq \tilde{N}$ , we have

$$\begin{aligned} & (f * \Phi_t)(x', x'') \\ &= \int_{\mathbb{R}^l} \int_{\mathbb{R}^k} (f_1 \otimes f_2)(y', y'') [\Phi_t(x' - y', x'' - y'') - P_{x', x'', t}(y', y'')] dy' dy'', \end{aligned}$$

where  $P_{x', x'', t}(y', y'')$  is the  $\tilde{N}$ -th order Taylor polynomial of the function  $(y', y'') \rightarrow \Phi_t(x' - y', x'' - y'')$  expanded about  $(\overline{x'}, \overline{x''})$ ; here  $\tilde{N}$  is the smallest integer with  $\tilde{N} \geq (k+l)(1/p-1)$ .

Now, by the usual estimate of the remainder term in a Taylor expansion,

$$|\Phi_t(x' - y', x'' - y'') - P_{x', x'', t}(y', y'')| \leq C \frac{|(y' - \overline{x'}, y'' - \overline{x''})|^{\tilde{N}+1}}{t^{k+l+\tilde{N}+1}},$$

and since  $(y', y'') \in Q_1 \otimes Q_2$ ,  $(x', x'') \in \{(Q_1 \otimes Q_2)^*\}^c$  and  $\Phi$  is supported in  $B(0, 1)$ , we have that  $t > c|(x' - \overline{x'}, x'' - \overline{x''})|$ . Hence

$$M_{\Phi}(f_1 \otimes f_2)(x', x'') \leq C \left( \frac{(2^{R_1} + 2^{R_2})^{1/2}}{|(x' - \overline{x'}, x'' - \overline{x''})|} \right)^{k+l+\tilde{N}+1} |Q_1 \otimes Q_2|^{-1/p},$$

and since  $(k+l+\tilde{N}+1)p > k+l$ , we get (2.1) for  $(x', x'') \in \{(Q_1 \otimes Q_2)^*\}^c$ .

Finally, let  $\{f_i\}$  be a collection of  $H^p$  atoms and  $\{\lambda_i\}$  be a sequence of complex numbers with  $\sum_i \lambda_i < \infty$ . Set  $f_1(x') = \sum_i \lambda_i f_i(x')$  and  $f_2(x'') = \sum_j \lambda_j f_j(x'')$ . Since

$p < 1$ , we have

$$\begin{aligned} & \int_{x'} \int_{x''} [M_{\Phi} f(x', x'')]^p dx' dx'' \\ & \leq \sum_i |\lambda_i|^p \sum_j |\lambda_j|^p \int_{x'} \int_{x''} [M_{\Phi}(f_i \otimes f_j)(x', x'')]^p dx' dx'' \\ & \leq C \sum_i |\lambda_i|^p \sum_j |\lambda_j|^p \leq A \|f_1\|_{H^p(\mathbb{R}^k)}^p, \end{aligned}$$

where  $A = C \sum_j |\lambda_j|^p = C \sum_j |Q_j|$ .  $\square$

Now we proceed to the proof of the restriction theorem. The method of proof is an adaptation of the argument in Hong [2].

*Proof of Theorem 1.* Let  $f_1 \in C_0^\infty(\mathbb{R}^k) \cap H^p(\mathbb{R}^k)$  and  $f_{2,\epsilon} \in C_0^\infty(\mathbb{R}^l)$  with  $\widehat{f_{2,\epsilon}}(\xi'') = \epsilon^{l(1/p-1)} \phi(\frac{\xi''-a}{\epsilon})$  and  $\text{supp } \phi \subset B(0, 1)$ . Set  $f_\epsilon(x', x'') = (f_1 \otimes f_{2,\epsilon})(x', x'')$ .

Suppose that  $m$  is continuous. A straight forward calculation gives

$$T_m f_\epsilon(x', x'') = T_{m^\epsilon}(f_1 \otimes \epsilon^{l/p} \check{\phi})(x', \epsilon x'') e^{i\langle x'', a \rangle}$$

where  $m^\epsilon(\xi', \xi'') = m(\xi', \epsilon \xi'' + a)$ . By (1) in Proposition 1 we have

$$(2.2) \quad \|T_{m^\epsilon}(f_1 \otimes \check{\phi})\|_{L^{p,\infty}} \leq C_{\check{\phi}} \|m\|_{\mathcal{M}} \|f_1\|_{H^p}.$$

Applying the Lebesgue dominated convergence theorem, we see that  $T_{m^\epsilon}(f_1 \otimes \check{\phi})$  converges to  $(T_{m_a} f_1) \otimes \check{\phi}$  as  $\epsilon \rightarrow 0$  where  $m_a(\xi') = m(\xi', a)$ . Thus, from Lemma 1 we have

$$(2.3) \quad \|(T_{m_a} f_1) \otimes \check{\phi}\|_{L^{p,\infty}} \leq C_{\check{\phi}} \|m\|_{\mathcal{M}} \|f_1\|_{H^p}.$$

Suppose now that  $m$  is in the class  $L^\infty$ . Let  $S$  denote the unit cube and set  $\psi_\delta(\xi', \xi'') = \frac{1}{\delta^{k+l}} \chi_S(\frac{\xi'}{\delta}, \frac{\xi''}{\delta})$ . Now  $\psi_\delta \in L^1$  and  $m \in L^\infty$  yield that  $\psi_\delta * m$  is continuous. Clearly,

$$T_{\psi_\delta * m} f_\epsilon(x', x'') = T_{\psi_\delta * m^\epsilon}(f_1 \otimes \epsilon^{l/p} \check{\phi})(x', \epsilon x'') e^{i\langle x'', a \rangle},$$

and from  $\|\psi_\delta\|_{L^1} = 1$  and (2.2) we have

$$\begin{aligned} \|T_{\psi_\delta * m^\epsilon}(f_1 \otimes \check{\phi})\|_{L^{p,\infty}} & \leq \|\psi_\delta\|_{L^1} \|T_{m^\epsilon}(f_1 \otimes \check{\phi})\|_{L^{p,\infty}} \\ & \leq C_{\check{\phi}} \|m\|_{\mathcal{M}} \|f_1\|_{H^p}. \end{aligned}$$

Likewise,  $T_{\psi_\delta * m^\epsilon}(f_1 \otimes \check{\phi})$  converges to  $(T_{\psi_\delta * m_a} f_1) \otimes \check{\phi}$  as  $\epsilon \rightarrow 0$  by the Lebesgue dominated convergence theorem. Moreover, for fixed  $\xi''$  the definition of Lebesgue

point  $\xi'$  is that

$$(2.4) \quad \lim_{\delta \rightarrow 0} \psi_\delta * m(\xi', \xi'') = \lim_{\delta \rightarrow 0} \frac{1}{\delta^{k+l}} \iint_{S_\delta} m(\xi' - \eta', \xi'' - \eta'') d\eta' d\eta'' \\ = m(\xi', \xi''),$$

where  $S_\delta$  is the cube of side length  $\delta$  centered at the origin. By applying the Lebesgue dominated convergence theorem again and (2.4)

$$\lim_{\delta \rightarrow 0} T_{\psi_\delta * m_a}(f_1 \otimes \check{\phi}) = T_{m_a}(f_1 \otimes \check{\phi}),$$

and by (2.3)

$$\| \lim_{\delta \rightarrow 0} T_{\psi_\delta * m_a}(f_1 \otimes \check{\phi}) \|_{L^{p,\infty}} \leq C_{\check{\phi}} \|m\|_{\mathcal{M}} \|f_1\|_{H^p}.$$

Therefore,  $m_a$  is contained in the class  $\mathcal{M}(H^p, L^{p,\infty})(\mathbb{R}^k)$  and thus

$$\|m_a\|_{\mathcal{M}} \leq \|m\|_{\mathcal{M}}.$$

This completes the proof. □

*Remark 3.* In order to prove Corollary 1, We take  $f_1 \in C_0^\infty(\mathbb{R}^k) \cap L_{rad}^p(\mathbb{R}^k)$  and  $f_{2,\epsilon} \in C_0^\infty(\mathbb{R}^l)$  with

$$\widehat{f_{2,\epsilon}}(\xi'') = \epsilon^{l(1/p-1)} \frac{\phi(\frac{\xi''-a}{\epsilon})}{\|\check{\phi}\|_p}$$

and  $\text{supp } \phi \subset B(0, 1)$ . The remaining part follows the similar argument as above.

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